

Precise measurement of V_{us}

Leandar Litov
CERN

Introduction

- CKM unitarity
- Extraction of V_{us}
 - ❖ Experimental data
 - ❖ Theoretical input
 - ❖ Results
 - ❖ Compatibility of K^0 and $K^{+/-}$ results
- Conclusions

Introduction

CKM - Unitarity

CKM Unitarity

Unitarity of CKM matrix leads to a number of relations between V_{ij}

In particular for the first row

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

Most precisely measured elements of CKM

PDG 2004 data

$|V_{ud}|$ - well determined from measurement of

super allowed nuclear β -decays

free neutron life time

$$|V_{ud}| = 0.9738 \pm 0.0005$$

$$|V_{ub}| = (3.67 \pm 0.47) \cdot 10^{-3} - (|V_{ub}|^2 \approx 10^{-5} \text{ negligible})$$

SM prediction

$$|V_{us}| = 0.2274 \pm 0.0021$$

CKM Unitarity

Experimental value

$$|V_{us}| = 0.2200 \pm 0.0026$$

$$\Delta|V_{us}| = 0.0074 \pm 0.0033 \quad \sim 2.2 \sigma \text{ discrepancy}$$

To solve the problem – measurement with precision $\sim 1\%$ (limited by theory)

Semileptonic decays $K \rightarrow \pi e \nu$ best for determination of $|V_{us}|$

The $Ke3$ matrix element is parameterized by one form factor

$$M = C \frac{G_F}{\sqrt{2}} V_{us} l^\mu f_+^{(o)}(t) (p_K + p_\pi)_\mu$$

Vector current transition matrix element

$$f_+^{(o)}(t) (p_K + p_\pi)_\mu = \langle \pi | V_\mu^4 - iV_\mu^5 | K \rangle$$

$$f_+^{(o)}(t) = f_+^{(o)}(0) \left[1 + \lambda_+ \frac{t}{m_{\pi^\pm}^2} \right]$$

λ_+ experimentally measured

CKM Unitarity

Recent experimental data – evidence for non linear terms

$$f_+^{(o)}(t) = f_+^{(o)}(0) \left[1 + \lambda_+ \frac{t}{m_{\pi^\pm}^2} + \lambda'_+ \frac{t^2}{m_{\pi^\pm}^4} \right]$$

Experimental data ~ 30 years old

Recent measurements - K^+e3 (E865, 2003), NA48 and
 K^0e3 - (KTeV), NA48, KLOE, prel
are significantly above previous results.

Accuracy – better than 1%

NA48

NA48 data

$$K^0_L \rightarrow \pi e \nu$$

Experimental result

$$\text{Br}(K^0_L e3)/\text{Br}(2\text{tr}) = 0.4978 \pm 0.0035$$

To determine $\text{Br}(K^0_L \rightarrow \pi e \nu)$ we need $\text{Br}(K^0_L \rightarrow 3\pi^0)$

PDG04: $\text{Br}(K^0_L \rightarrow 3\pi^0) = 0.2105 \pm 0.0028$

KTeV $\text{Br}(K^0_L \rightarrow 3\pi^0) = 0.1945 \pm 0.0018$?

Average according PDG prescription

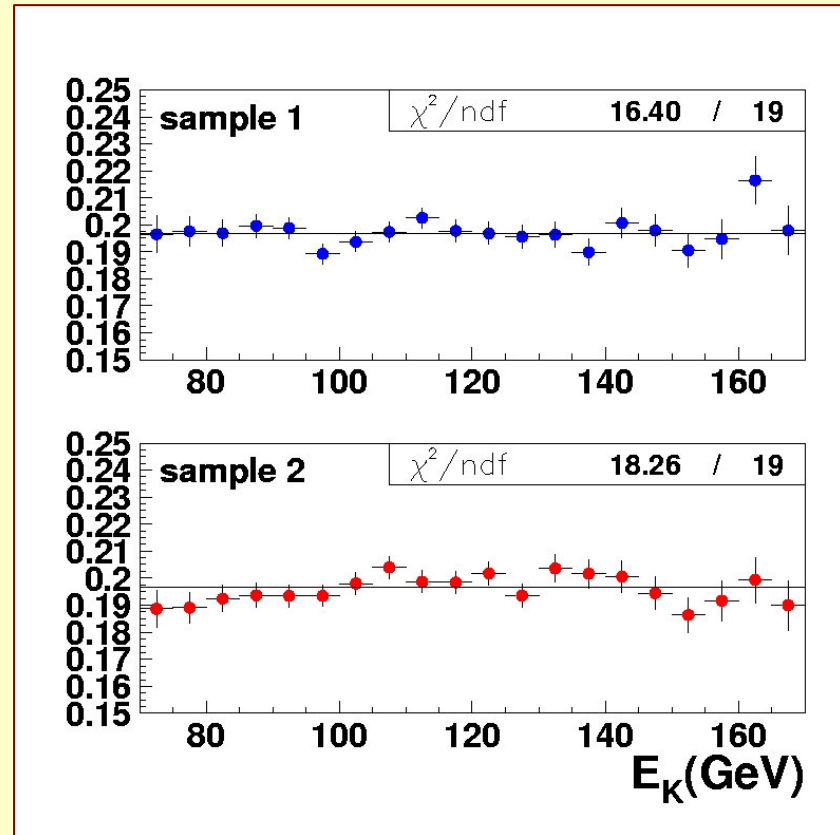
$$\text{Br}(K^0_L \rightarrow 3\pi^0) = 0.1992 \pm 0.0070$$

$$\text{Br}(K^0_L e3) = 0.4010 \pm 0.0028_{\text{exp}} \pm 0.0035_{\text{norm}}$$

Measurement of $\text{Br}(K_L^0 \rightarrow 3\pi^0)$

❖ Main systematic

- LKr energy scale ± 0.0020
- Effective target position ± 0.0017
- K_L life time: ± 0.0015



$$\text{Br}(K_L \rightarrow \pi^0\pi^0\pi^0) = 0.1966 \pm 0.0006_{\text{stat}} \pm 0.0033_{\text{syst}}$$

Preliminary

In a good agreement with KTeV and KLOE results

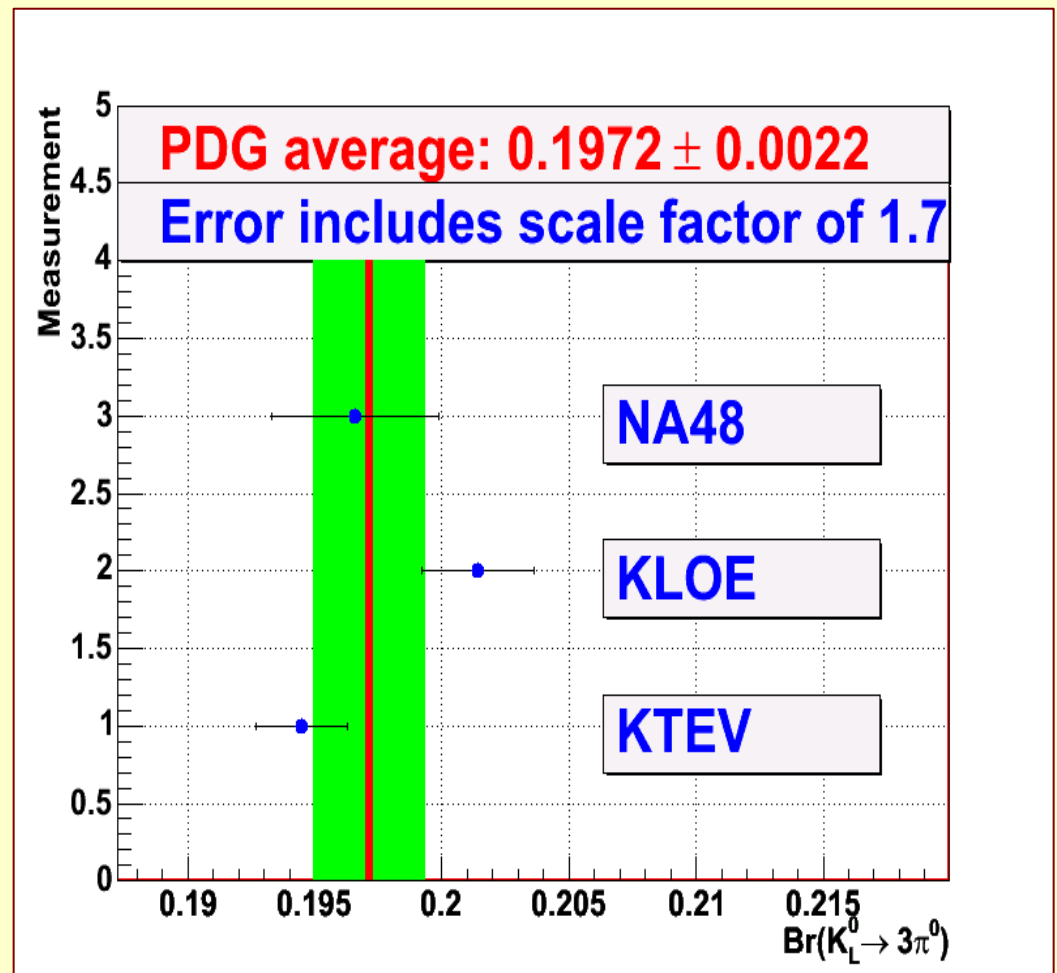
Results for $\text{Br}(K_L^0 \rightarrow 3\pi^0)$

Taking into account the KTeV and KLOE results we obtain

$$\text{Br}(K_L \rightarrow \pi^0\pi^0\pi^0) = 0.1972 \pm 0.0022$$

Then the NA48 result changes to

$$\text{Br}(K_L^0 \rightarrow e^+e^-) = 0.4020 \pm 0.0030$$



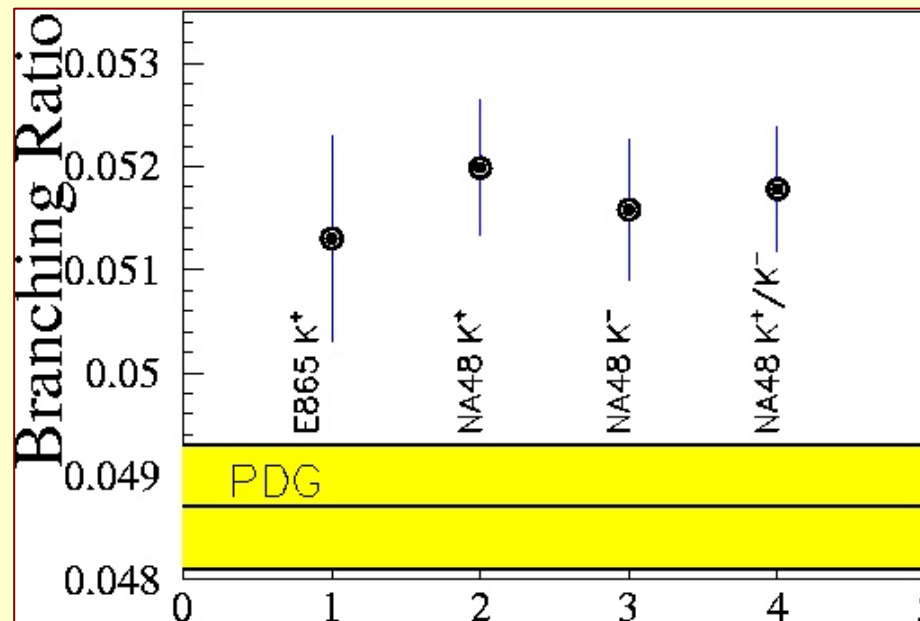
Measurement of $\text{Br}(\text{K}^\pm \rightarrow \pi^0 e^\pm \nu)$

Preliminary NA48/2 result on $\text{Br}(\text{K}^\pm \rightarrow \pi^0 e^\pm \nu)$

$$\text{Br}(\text{K}^+ \rightarrow \pi^0 e^+ \nu) = (5.163 \pm 0.021_{\text{stat}} \pm 0.056_{\text{syst}}) \%$$

$$\text{Br}(\text{K}^- \rightarrow \pi^0 e^- \nu) = (5.093 \pm 0.028_{\text{stat}} \pm 0.056_{\text{syst}}) \%$$

$$\text{Br}(\text{K}^\pm \rightarrow \pi^0 e^\pm \nu) = (5.14 \pm 0.02_{\text{stat}} \pm 0.06_{\text{syst}}) \%$$



Ke3 form factors

❖ $K_L \rightarrow \pi e \nu$ form factors - the same 1999 data

- 5.6 million reconstructed events
- Result for pure vector interaction

$$\lambda_+ = 0.0288 \pm 0.0005_{\text{stat}} \pm 0.0011_{\text{syst}}$$

- Result for 3-parameter fit:

$$\lambda_+ = 0.0284 \pm 0.0007 \pm 0.0013$$

$$\left| \frac{f_S}{f_+(0)} \right| = 0.015^{+0.007}_{-0.010} \pm 0.0012$$

$$\left| \frac{f_T}{f_+(0)} \right| = 0.05^{+0.03}_{-0.04} \pm 0.03$$

PDG(2004):

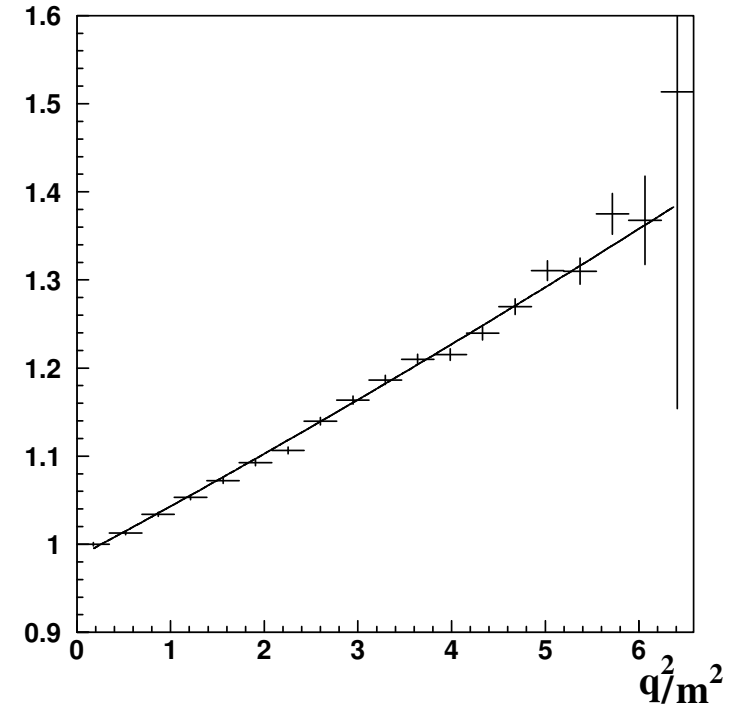
$$\lambda_+ = 0.0291 \pm 0.0018$$

$$\left| \frac{f_S}{f_+(0)} \right| < 0.04$$

$$\left| \frac{f_T}{f_+(0)} \right| < 0.23$$

Precise measurement of V_{us}

DATA/MC



❖ No evidence for scalar and tensor couplings!

❖ No evidence for statistically significant quadratic term!

New NA48 results

Determination of V_{us}

Determination of V_{us}

The physical quantity

$$\Gamma(K_{e3(\gamma)}) = \Gamma(K_{e3}) + \Gamma(K_{e3\gamma}) + \dots$$

where the radiative corrections with virtual and real photons are taken into account **Is well defined, calculable and measurable!**

The decay density distribution is given by

$$\rho(y, z) = NS_{EW} A(y, z) |f_+(t)|^2$$

where $S_{EW}(M_\rho, M_Z)$ is a short-distance enhancement factor and

$$y=2E_\pi/M_K, z=2E_e/M_K,$$

$$N = C^2 \frac{G_F^2 |V_{us}|^2 M_K^5}{128\pi^3}$$

Determination of V_{us}

Then

$$\Gamma(K_{e3}(\gamma)) = NS_{EW} |f_+^{K\pi}(0)|^2 I_K$$

where I_K is the phase-space integral

$$I_K = \int_D dydz A(y, z) \frac{|f_+(t)|^2}{|f_+(0)|^2}$$

For linear parameterization of $f_+(t)$ we have

$$I_K = a_0 + a_1 \lambda_+ + a_2 (\lambda_+)^2$$

and for quadratic parameterization of $f_+(t)$ I_K is given by

$$I_K = a_0 + a_1 \lambda_+ + a_2 (2\lambda'_+ + \lambda_+^2) + a_3 \lambda_+ \lambda'_+ + a_4 (\lambda'_+)^2$$

Determination of V_{us}

$|V_{us}|$ can be extracted from $K \rightarrow \pi e \nu$ via

$$|V_{us}| \cdot f_+^{K\pi}(0) = \sqrt{\frac{128 \pi^3 \Gamma(K_{e3}(\gamma))}{C^2 G_F^2 M_K^5 S_{EW} I_K}}$$

Where:

$S_{EW} = 1.0232$ – short distance enhancement factor,
 $I_K(f_+^{K\pi}(t))$ – phase space integral, $C = \begin{cases} 1 & K_{e3}^0 \\ 1/\sqrt{2} & K_{e3}^+ \end{cases}$

We followed the prescription for V_{us} determination proposed in
 V.Cirigliano, M. Knecht, H. Neufeld, H. Rupertsberger, P. Talavera,
 In Eur.Phys.J. C23 p121, 2002

V.Cirigliano, H. Neufeld, H. Pichl, Eur.Phys.J. C35 p53, 2004

Important – to treat all experimental data in the same way!

Radiative corrections (including virtual and real photons)! **A few %**

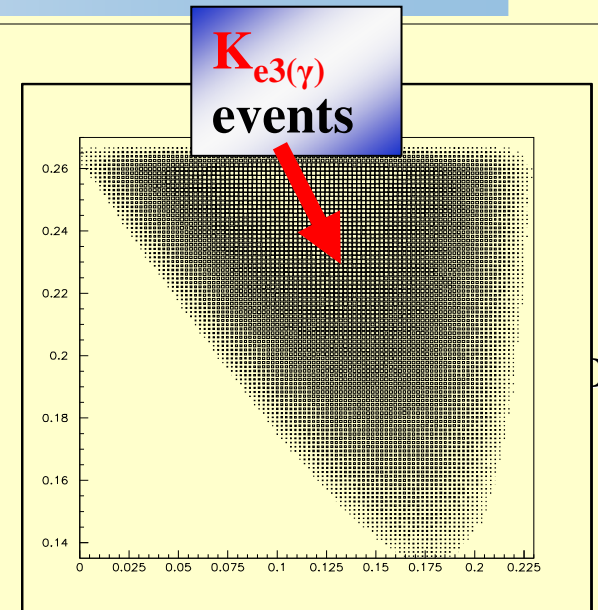
Determination of V_{us}

Prescription

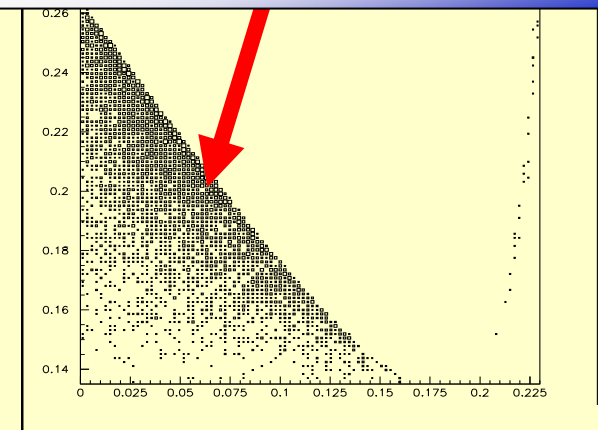
- Accept all photon energies
- Accept all angles between pion and positron
- Accept only pion and positron energies within the original 3-body Dalitz plot.
- Inclusive rate obtained by integrating over the original domain

Experimentally – inclusive measurement of $\text{Br}(K_{e3})$. For determination of V_{us} , the corresponding $\text{Br}(K_{e3})$ should be inside the Dalitz plot. Corrections are:

$C_K=0.58\%$ for K^0 and $C_K=0.56\%$ for K^+



$K_{e3\gamma}$ events (excluded for the V_{us} extraction)



Determination of V_{us}

Input for calculation of V_{us}

Experimental data

- ✓ Br(Ke3)
- ✓ Mean life times of K^0_L , K^0_S , K^+
- ✓ Linear and quadratic slopes of $f_+(t) - \lambda_+$ and λ_+ , λ_+'
- Theoretical input – $f_+^{K\pi}(0)$

Determination of V_{us}

Experimental data

To have comparable results

Experimental data should be treated in the same way

- Inclusive measurement of the $\text{Br}(\text{Ke3})$
- Correct account for radiative corrections, including real photons

Two classes of data on measurement of $\text{Br}(\text{Ke3})$

Old data – actually what is included in PDG 2004

New data – published or reported in 2003 and 2004

Determination of V_{us}

Old experimental data - K^\pm

Direct measurement of $Br(K_{e3})$

- dominating experiment Chiang et al., Phys.Rev.D6, 1972, p.1254 accuracy $\sim 2\%$
Ke3 measurement is not inclusive
No radiative corrections
The decays $\pi \rightarrow \mu$ are not taken into account
The Dalitz decays of π^0 are not taken into account

In the PDG fit also contribute

- $Br(K_{e3})/Br(2\pi)$
-in the dominating experiment ($\sim 5\%$ acc.) rad. corrections without real γ
- $Br(K_{\mu 3})/Br(K_{e3})$
K. Horie, Phys. Lett. B513, p. 311, 2001
The measurement is not inclusive
Ke3 γ is considered as background

Determination of V_{us}

Old experimental data – K_L^0

**There is no direct measurement of $\text{Br}(K_{e3})$
In the PDG fit contribute**

- $\text{Br}(K_{\mu 3})/\text{Br}(K_{e3})$ – 4 experiments with good statistic
 - Two of them are perfect, both measure $\text{Br}(K_{\mu 3})/\text{Br}(K_{e3}) = 0.662$ close to KTeV result
 - The other two – Hydrogen bubble chambers
 - In this case separation of K_{e3} and $K_{\mu 3}$ decays is extremely difficult
 - 50% of the events are ambiguous – to separate complicated weighting procedure
 - Their results shift $\text{Br}(K_{\mu 3})/\text{Br}(K_{e3})$ to 0.697
 - in strong disagreement with recent measurements
- The other contribution is from Kreuz, ZPHY C55, p.67, 1995 – the results from this experiment are in strong contradictions with recent measurements

Determination of V_{us}

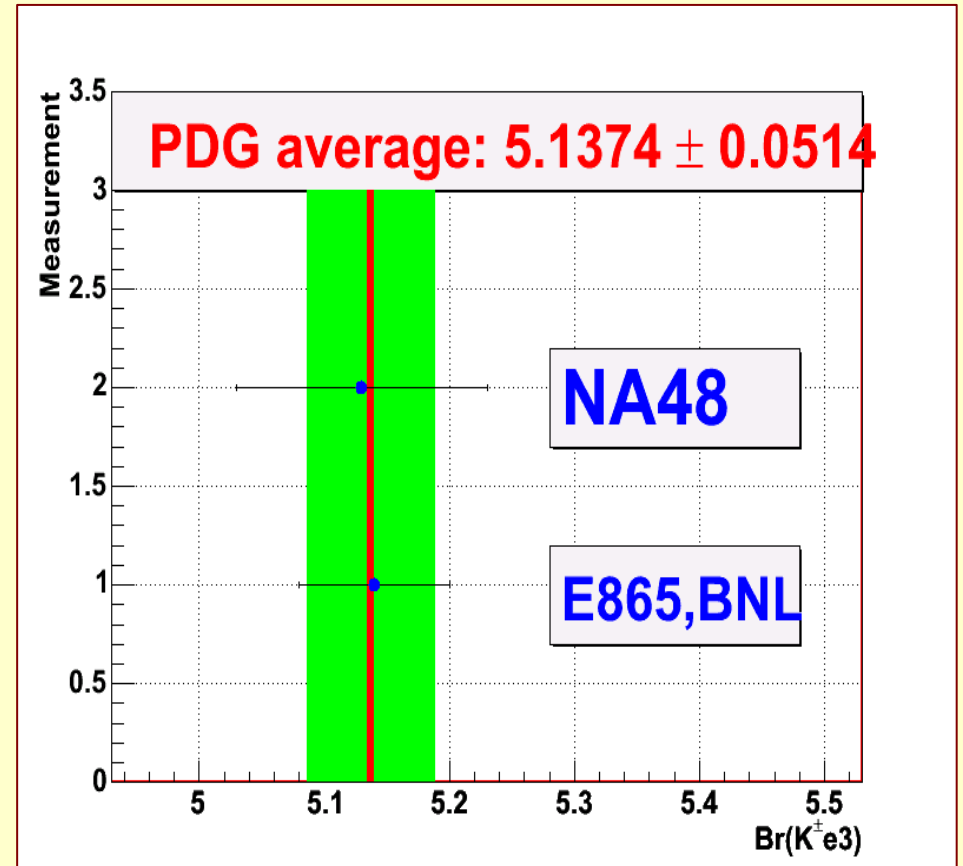
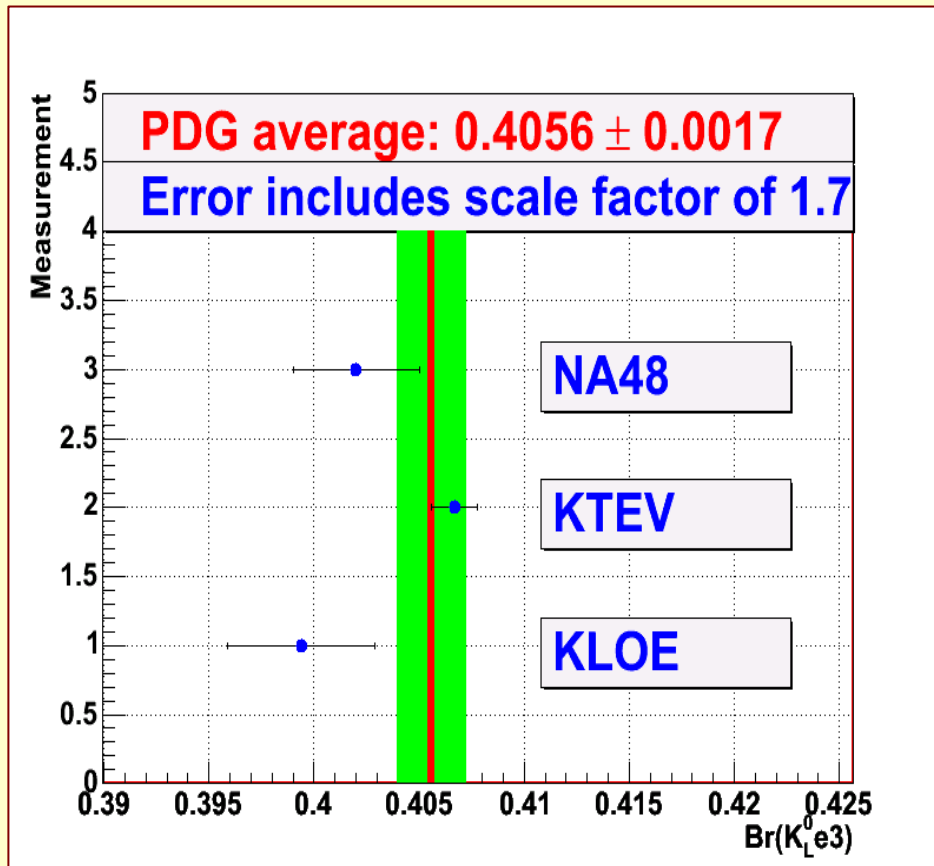
Experimental data

The careful investigation of old experimental data on measurement of $\text{Br}(\text{Ke3})$ leads to the definite conclusion that due to different reasons they are not enough accurate and are not suitable for extraction of V_{us} matrix element

In what follows we will use only the new high statistics experimental data on measurement of $\text{Br}(\text{Ke3})$

Determination of V_{us}

Br(Ke3)



$$\text{Br}(K_L^0 e3) = 0.4056 \pm 0.0017$$

$$\text{Br}(K^\pm e3) = (5.137 \pm 0.051)\%$$

Determination of V_{us}

Slope of the $f_+(t) - K_L^0$

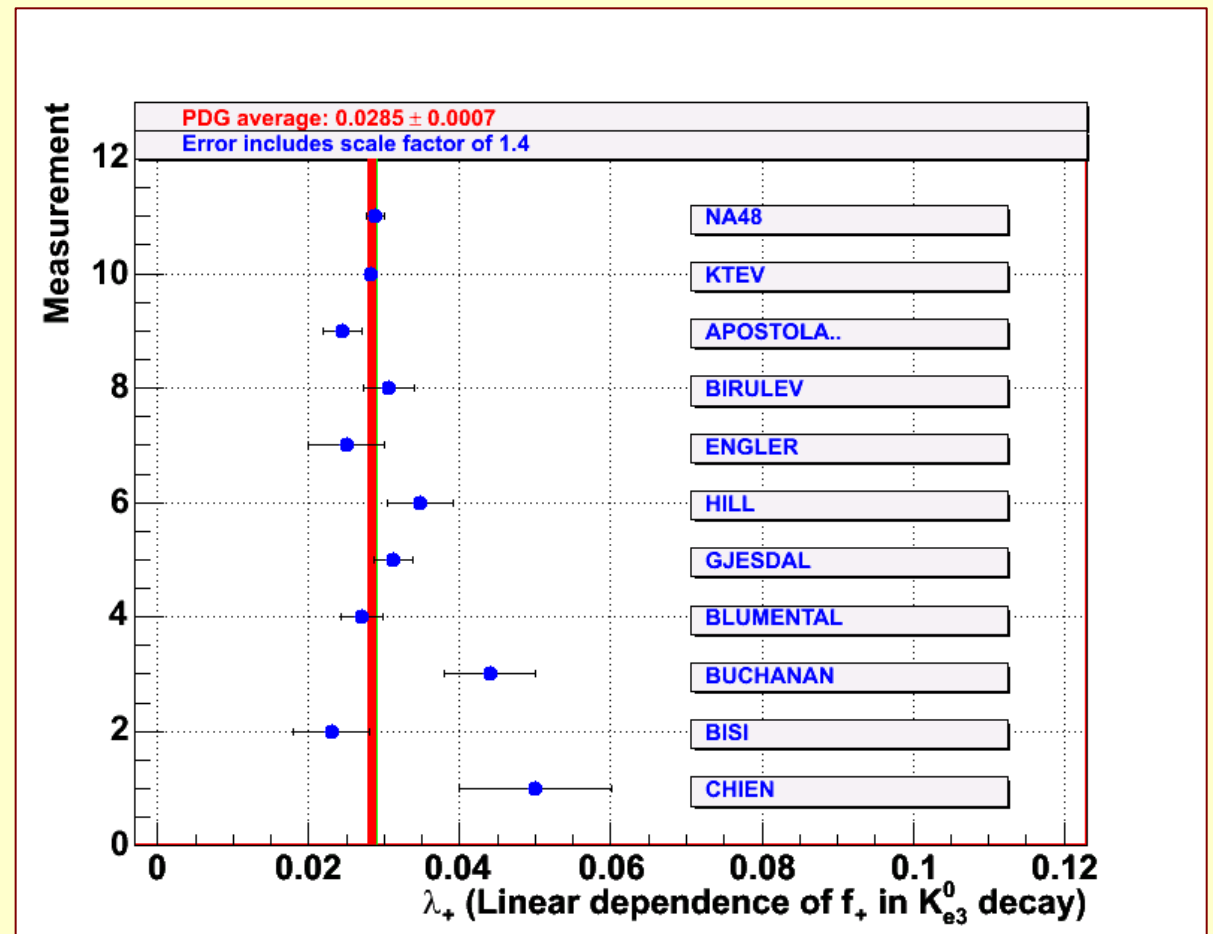
Linear approximation

$$\lambda_+ = 0.0285 \pm 0.0007$$

Quadratic approximation
KTeV result

$$\lambda_+ = 0.02167 \pm 0.00199$$

$$\lambda_+' = 0.00144 \pm 0.00039$$



Determination of V_{us}

Slope of the $f_+(t) - K^\pm$

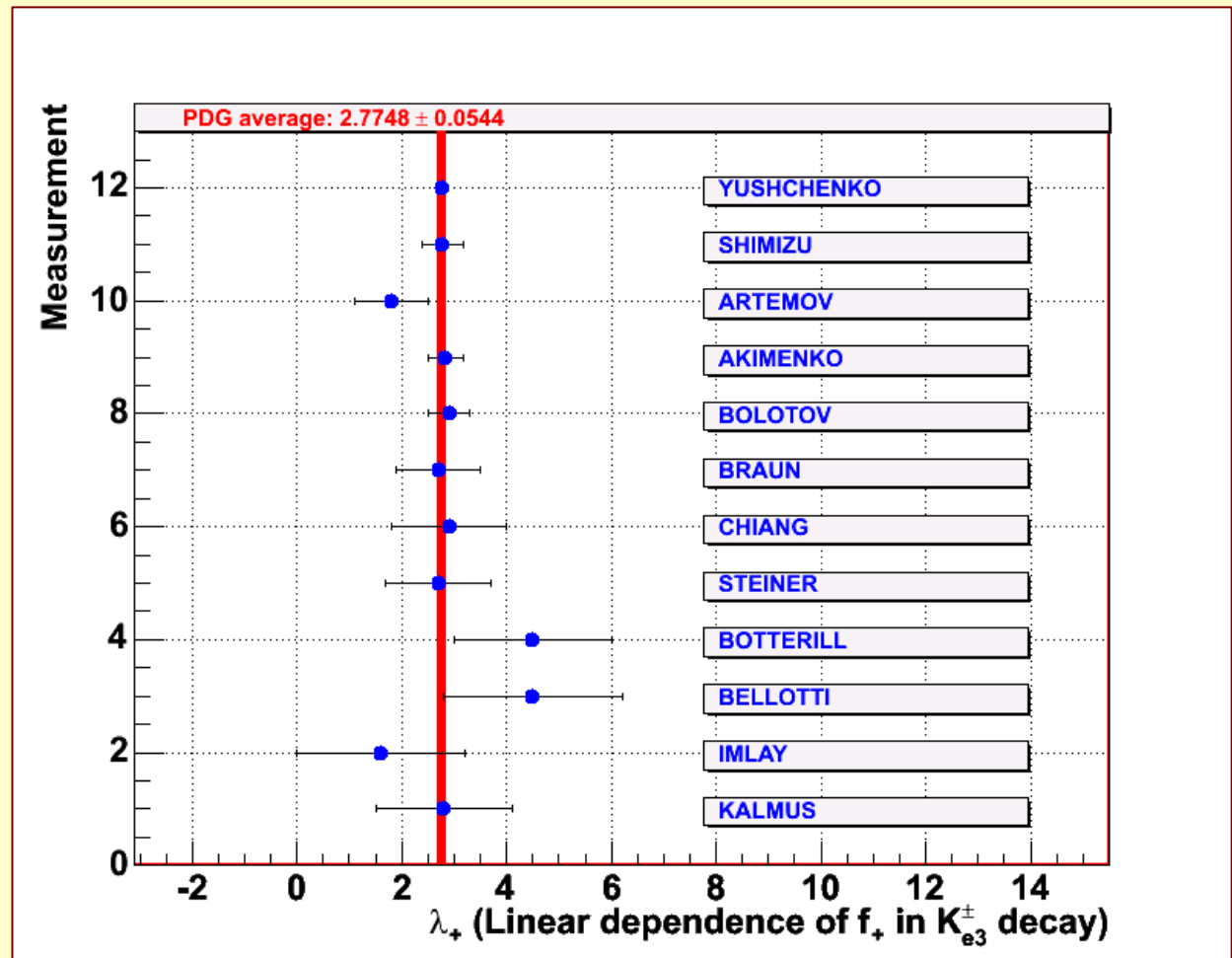
Linear approximation

$$\lambda_+ = 0.0277 \pm 0.0005$$

Quadratic approximation
ISTRA+ result

$$\lambda_+ = 0.02324 \pm 0.00155$$

$$\lambda_+' = 0.00084 \pm 0.00041$$



Determination of V_{us}

Mean life time

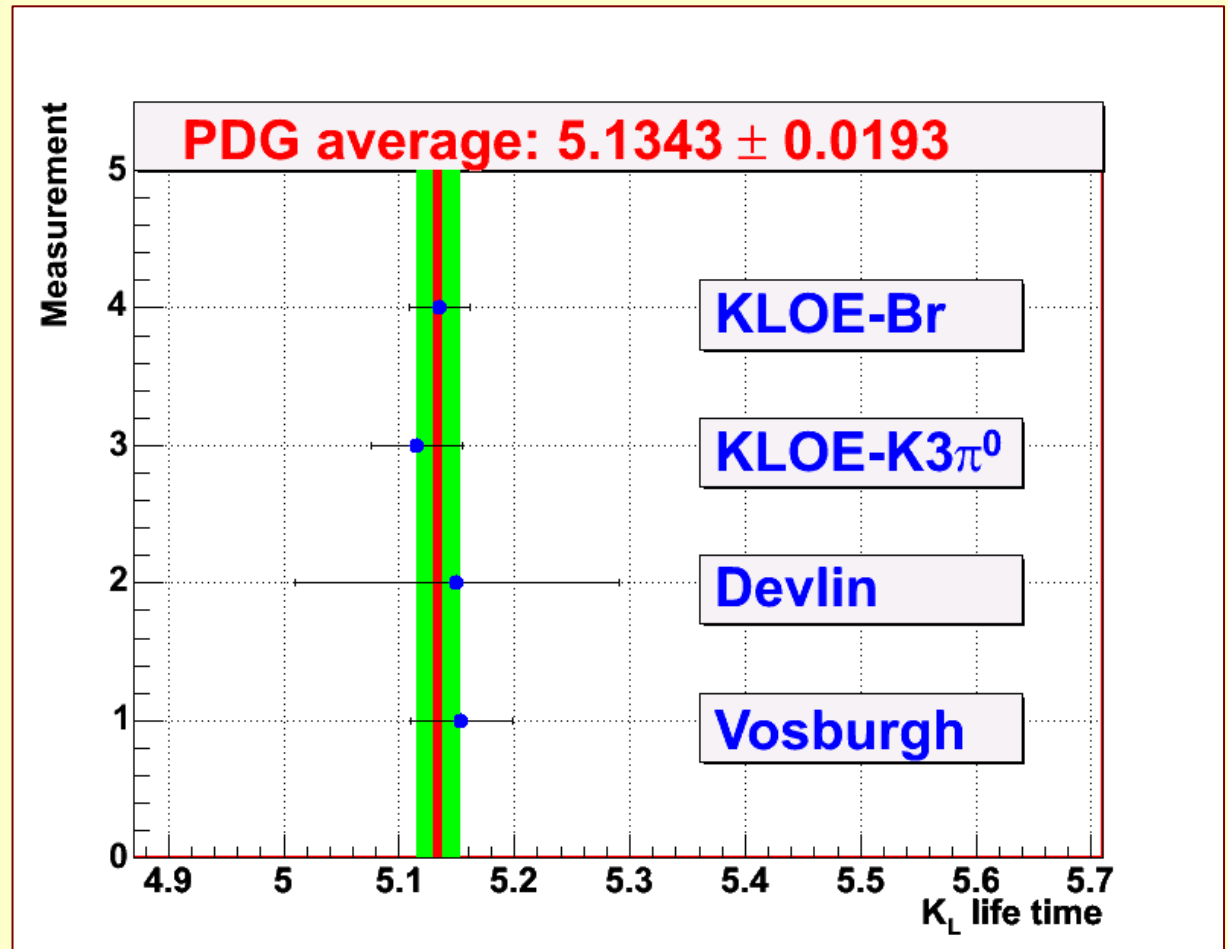
K_L^0

New KLOE results

$$\tau = (5.134 \pm 0.0193) \cdot 10^{-8} \text{s}$$

K^\pm

$$\tau = (1.2385 \pm 0.0025) \cdot 10^{-8} \text{s}$$



Determination of V_{us}

Calculation of $f_+^{K\pi}(0)$

Let us represent $f_+(t)$ in the following form:

$$f_+ = \tilde{f}_+(t) + \hat{f}_+(t)$$

$$\tilde{f}_+(p^4)$$

$$\tilde{f}_+(p^6)$$

QCD effects to $O(p^6)$
EM contribution to $O(e^2p^2)$
EM contraterms relevant to $\pi^0 - \eta$ mixing

local effects of virtual photon
exchange of order $O(e^2p^2)$

Determination of V_{us}

Calculation of $f_+^{K\pi}(0)$

Calculation of $f_+(0)$ to $O(p^4)$ - Gasser & Leutwyler
First calculation to $O(p^6)$ – Leutwyler & Roos
QCD + isospin breaking

$$\stackrel{\sim K^0\pi^-}{f_+}(0) = 0.961 \pm 0.008$$

$$\stackrel{\sim K^+\pi^0}{f_+}(0) = 0.982 \pm 0.008$$

$$\stackrel{\sim K\pi}{f_+}(0)|_{p^6} = -0.016 \pm 0.008$$

Bijnens & Talavera

$$\stackrel{\sim K\pi}{f_+}(0) = 0.976 \pm 0.010$$

$$\stackrel{\sim K\pi}{f_+}(0)|_{p^6} = -8 \left(\frac{M_K^2 - M_\pi^2}{F_\pi^2} \right) [C_{12}^r(\mu) + C_{34}^r(\mu)] + \Delta_{loops}(\mu)$$

$$\stackrel{\sim K\pi}{f_+}(0)|_{p^6}^{local} = -0.016 \pm 0.008$$

$$\Delta_{loops}(M_\rho) = 0.0146 \pm 0.0064$$

Determination of V_{us}

Calculation of $f_+^{K\pi}(0)$

Quenched lattice calculations – Becirevic et al.

$$\overset{\sim K^0\pi^-}{f_+}(0) = 0.960 \pm 0.009$$

$$\overset{\sim K\pi}{f_+}(0)|_{p^6} = -0.017 \pm 0.008$$

Cirigliano, Neufeld and Pichl

Calculation using χ PT with virtual photons and leptons

- Isospin breaking by the quark masses up to $O((m_u - m_d)p^2)$
- Isospin conserving contribution from SU(3) breaking $O(p^6)$
- Electromagnetic effects up to $O(e^2 p^2)$

$$f_+^{K^0\pi^+}(0) = 0.981 \pm 0.010$$

$$f_+^{K^+\pi^0}(0) = 1.002 \pm 0.010$$

Determination of V_{us}

Calculation of $f_+^{K\pi}(0)$

To extract V_{us} we have used the following values

	LO + NLO QCD	EM. radiative corrections	NNLO QCD	total
K^0	0.97699 ± 0.00002	0.0046 ± 0.0008	-0.001 ± 0.010	0.981 ± 0.010
K^+	1.0002 ± 0.0022	0.0032 ± 0.0016	-0.001 ± 0.010	1.002 ± 0.010
K^0	0.97699 ± 0.00002	0.0046 ± 0.0008	-0.017 ± 0.009	0.965 ± 0.009
K^+	1.0002 ± 0.0022	0.0032 ± 0.0016	-0.017 ± 0.009	0.986 ± 0.010

The main uncertainty ($\sim 1\%$) comes from $O(p^6)$ contribution

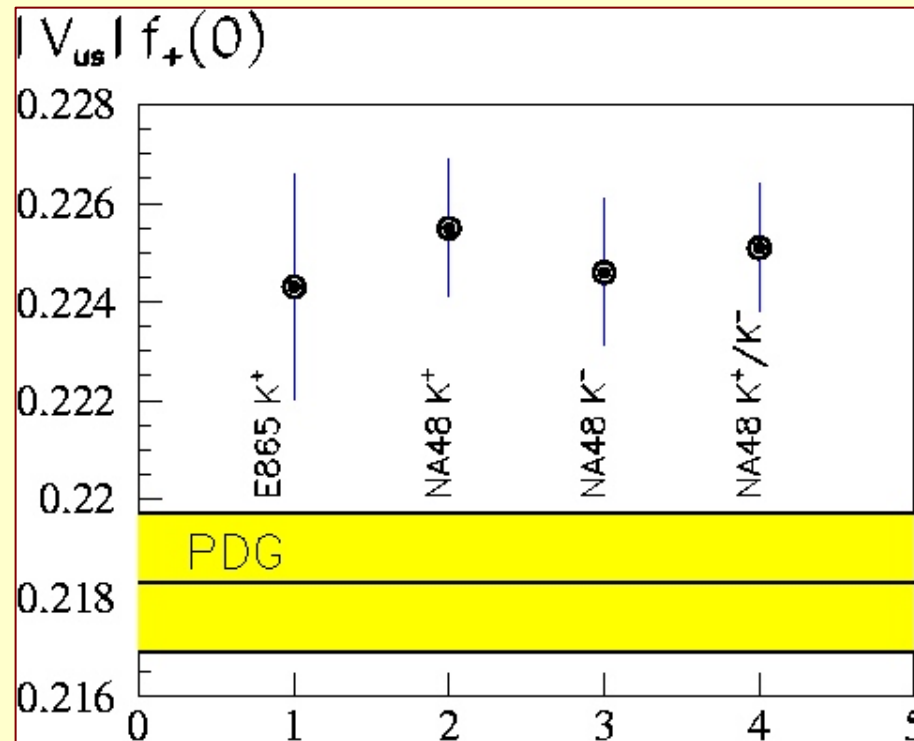
Determination of $V_{us} f_+(0)$ – NA48

$$|V_{us}| \cdot f_+^{K^0 \pi^+}(0) = 0.2146 \pm 0.0016$$

$$|V_{us}| \cdot f_+^{K^+ \pi^0}(0) = 0.2250 \pm 0.0013$$

$$|V_{us}| \cdot f_+^{K^- \pi^0}(0) = 0.2235 \pm 0.0014$$

$$|V_{us}| \cdot f_+^{K^\pm \pi^0}(0) = 0.2245 \pm 0.0013$$



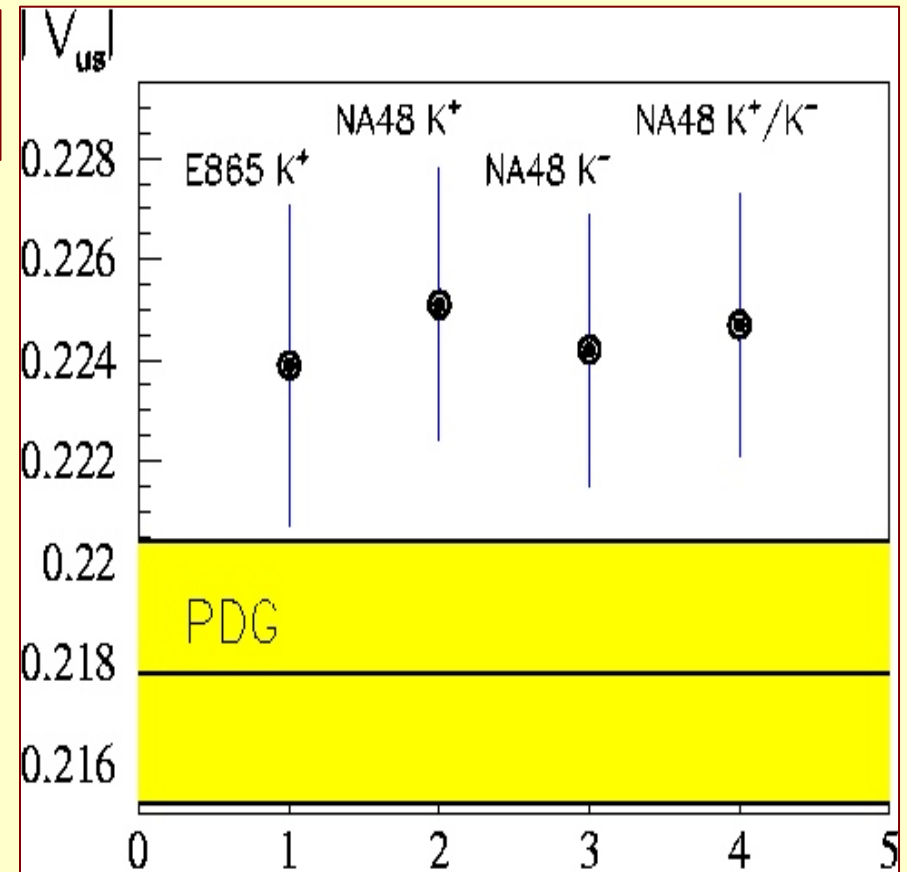
Determination of V_{us} - NA48

$$|V_{us}|^{K^0\pi^+}(0) = 0.2187 \pm 0.0016_{\text{exp}} \pm 0.0023_{\text{theor}}$$

$$|V_{us}|^{K^+\pi^0}(0) = 0.2246 \pm 0.0013_{\text{exp}} \pm 0.0023_{\text{theor}}$$

$$|V_{us}|^{K^-\pi^0}(0) = 0.2231 \pm 0.0014_{\text{exp}} \pm 0.0023_{\text{theor}}$$

$$|V_{us}|^{K^\pm\pi^0}(0) = 0.2241 \pm 0.0013_{\text{exp}} \pm 0.0023_{\text{theor}}$$



Uncertainty in V_{us} is dominated by the theory!

Determination of V_{us}

Results – K^0

Linear approximation of $f_+(0)$

$$f_+^{K^0\pi^+}(0) = 0.981 \pm 0.010$$

Experiment	Br	$V_{us} f_+(0)$	V_{us}
PDG	0.3881 ± 0.0027	0.2116 ± 0.0009	0.2157 ± 0.0024
NA48	0.4020 ± 0.0030	0.2154 ± 0.0009	0.2196 ± 0.0024
KTeV	0.4067 ± 0.0011	0.2166 ± 0.0006	0.2208 ± 0.0023
KLOE – K_L	0.3994 ± 0.0035	0.2147 ± 0.0011	0.2188 ± 0.0025
KLOE – K_S	$(7.09 \pm 0.11) \cdot 10^{-4}$	0.2165 ± 0.0017	0.2208 ± 0.0022
Average K_L	0.4056 ± 0.0017	0.2164 ± 0.0007	0.2206 ± 0.0024

Determination of V_{us}

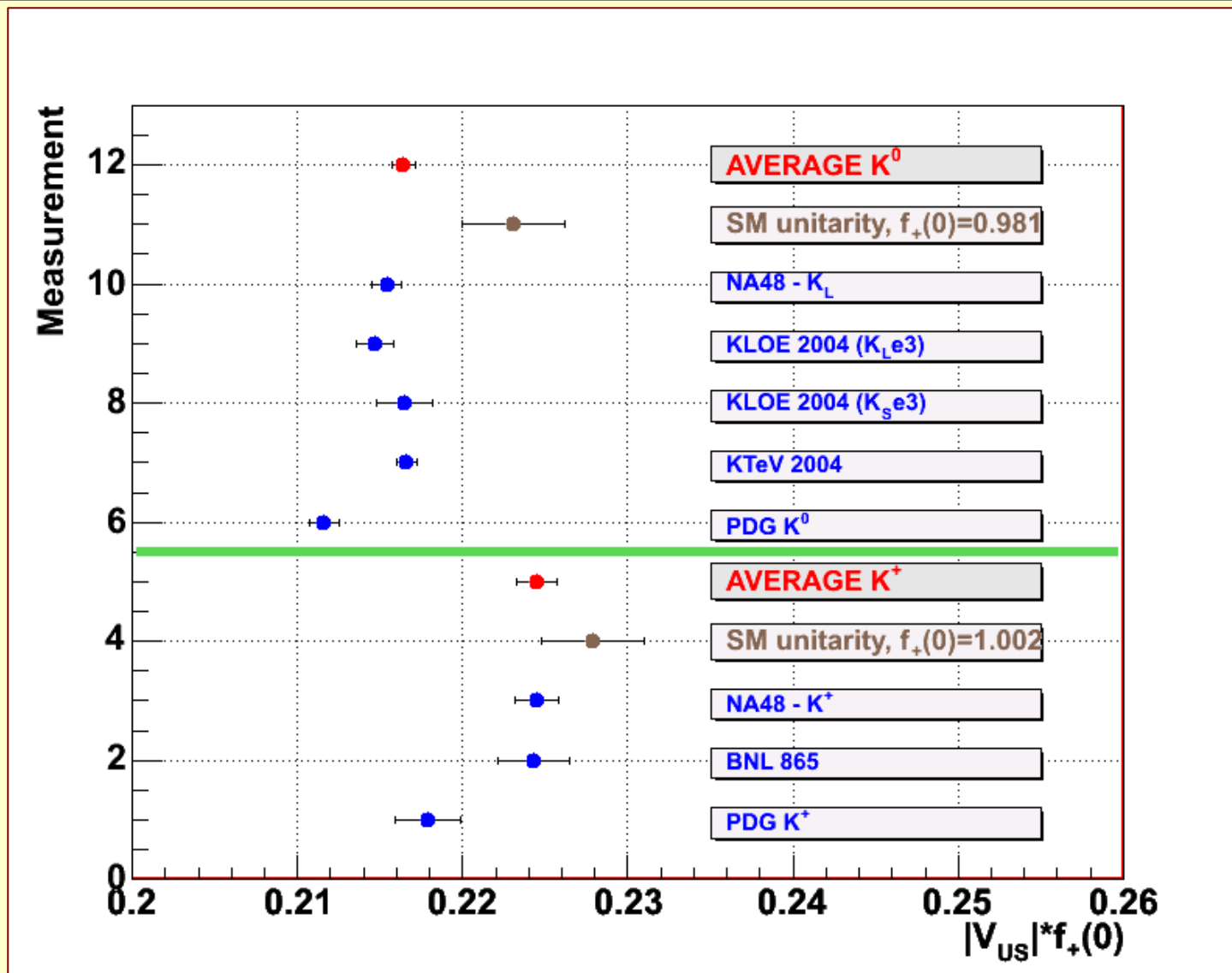
Results – K^+

Linear approximation of $f_+(0)$

$$f_+^{K^+\pi^0}(0) = 1.002 \pm 0.010$$

Experiment	Br [%]	$V_{us} f_+(0)$	V_{us}
PDG	4.84 ± 0.09	0.2179 ± 0.0020	0.2174 ± 0.0030
NA48	5.14 ± 0.06	0.2245 ± 0.0013	0.2241 ± 0.0026
E865	5.13 ± 0.10	0.2243 ± 0.0022	0.2239 ± 0.0031
Average	5.137 ± 0.051	0.2245 ± 0.0012	0.2240 ± 0.0025

Determination of V_{us}



Consistency of K_L and K_{ch} data

Ratio of $f_+(0)$ for K_L and K^+ can be measured

$$R = f_+^{K^0\pi^+}(0) / f_+^{K^+\pi^0}(0)$$

Its calculation is free from many of the theoretical uncertainties

$$R^{th} = 1.022 \pm 0.003 - 16\pi\alpha X_1$$

$$1.017 \leq R^{th} \leq 1.027$$

From the averaged K_L and K^+ data we obtain

$$R^{exp} = 1.038 \pm 0.006$$

- In disagreement with theoretical predictions $\sim 2\sigma$**
- failure of the naïve dimensional analysis for X_1
 - failure of chiral power counting
 - wrong mean life times

Determination of V_{us}

If we use for V_{us} determination

$$f_+^{K^0\pi^+}(0) = 0.965 \pm 0.009$$

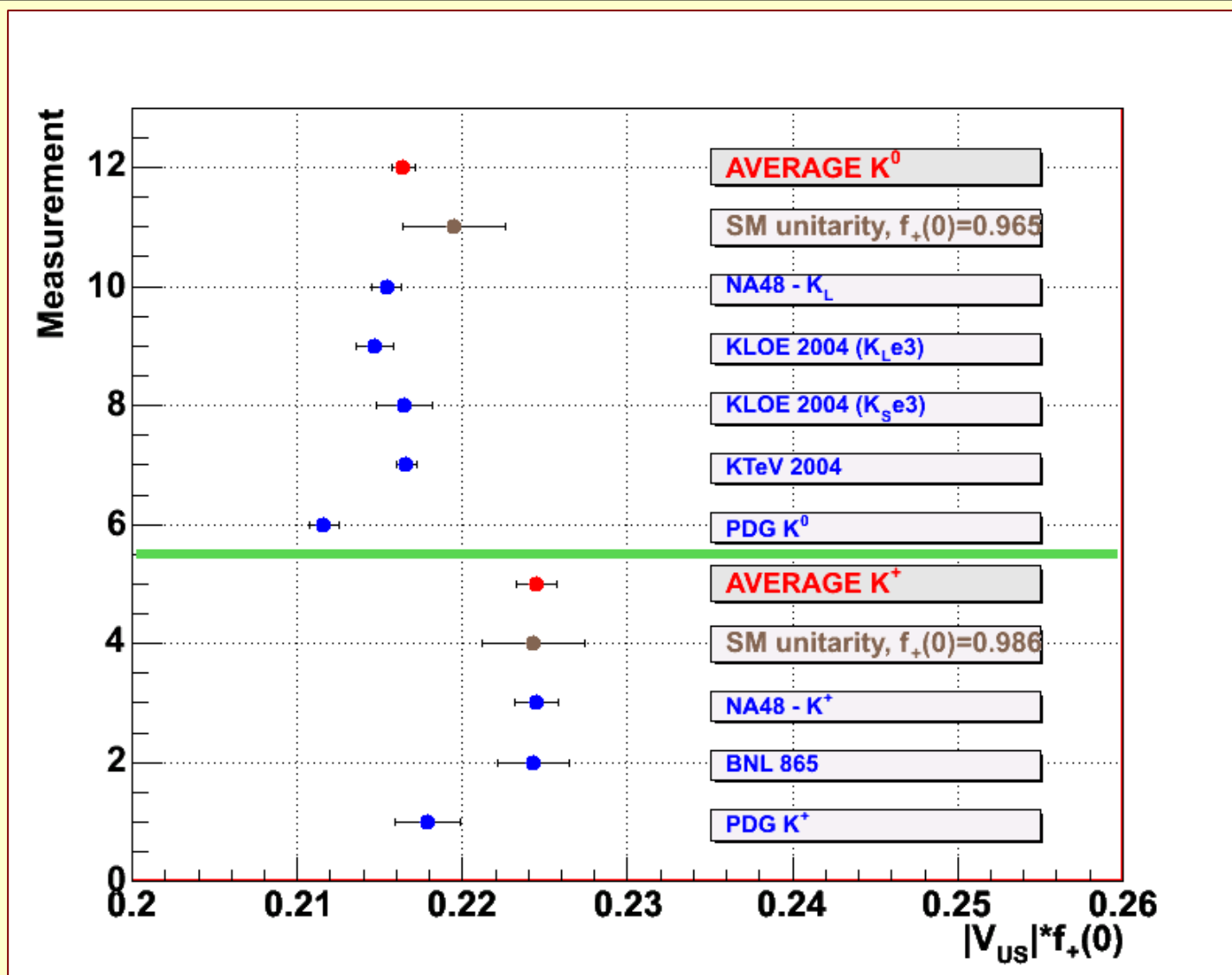
$$f_+^{K^+\pi^0}(0) = 0.986 \pm 0.010$$

$$|V_{us}|^{K^0\pi^+} = 0.2242 \pm 0.0022$$

$$|V_{us}|^{K^\pm\pi^0} = 0.2277 \pm 0.0026$$

The values of V_{us} are changed $\sim 2\sigma$
Calculation of $f_+(0)$ is the most important problem to be solved

Determination of V_{us}



Determination of V_{us}

Non linear approximation leads to

$$|V_{us}| \cdot f_+^{K^0\pi^+}(0) = 0.2174 \pm 0.0010$$

$$|V_{us}| \cdot f_+^{K^\pm\pi^0}(0) = 0.2253 \pm 0.0014$$

$$|V_{us}|^{K^0\pi^+}(0) = 0.2216 \pm 0.0025$$

$$|V_{us}|^{K^\pm\pi^0}(0) = 0.2248 \pm 0.0026$$

**The values of $V_{us}f_+(0)$ are changed $\sim 1\sigma$ and $\sim 0.6\sigma$
The values of V_{us} are changed $\sim 0.4\sigma$ and $\sim 0.3\sigma$**

and with the second set $f_+(0)$

$$|V_{us}|^{K^0\pi^+}(0) = 0.2253 \pm 0.0024$$

$$|V_{us}|^{K^\pm\pi^0}(0) = 0.2285 \pm 0.0027$$

In perfect agreement with unitarity of CKM matrix

Determination of $f_+(0)$

If we suppose that CKM matrix is unitary

$$|V_{us}| = 0.2274 \pm 0.0021$$

then we can determine the values of $f_+(0)$ using

$$|V_{us}| \cdot f_+^{K^0\pi^+}(0) = 0.2164 \pm 0.0007$$

$$|V_{us}| \cdot f_+^{K^\pm\pi^0}(0) = 0.2245 \pm 0.0012$$

$$f_+^{K^0\pi^+}(0) = 0.952 \pm 0.009$$

$$f_+^{K^\pm\pi^0}(0) = 0.987 \pm 0.010$$

The non linear approximation does not effects the result significantly

$$f_+^{K^0\pi^+}(0) = 0.9561 \pm 0.0086$$

$$f_+^{K^\pm\pi^0}(0) = 0.991 \pm 0.011$$

Conclusions- V_{us}

- ❖ The careful analysis of the existing data has shown
 - the old measurements are not suitable for determination of V_{us}
 - new measurement of all kaon branching fractions is desirable
 - new more precise measurements of $Ke3$ and $K\mu3$ form factors are needed
 - new measurement of the kaon mean life times will be welcome
 - KLOE and part of NA48 data are still preliminary
- ❖ V_{us} values obtained using average values of $Br(Ke3)$
 - Support the unitarity of CKM matrix
 - Strongly depend from the values of $f_+(0)$
 - More precise calculation of $O(p^6)$ contribution is required
- ❖ The experimental data for R are in disagreement with theoretical predictions
- ❖ Measured values of $f_+(0)$ (with unitary CKM matrix) cause questions to the theory