

# Beyond the Standard Model

Lecture 5

Leandar Litov  
University of Sofia

**MSSM**

# SUSY SM

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spin 0	spin 1/2	spin 1	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$
$\tilde{u}_L, \tilde{d}_L$	$u_L, d_L$		<b>3</b>	<b>2</b>	$+\frac{1}{3}$
$\tilde{u}_R$	$u_R$		<b>3</b>	<b>1</b>	$+\frac{4}{3}$
$\tilde{d}_R$	$d_R$		<b>3</b>	<b>1</b>	$-\frac{2}{3}$
$\tilde{\nu}, \tilde{e}_L$	$\nu, e_L$		<b>1</b>	<b>2</b>	$-1$
$\tilde{e}_R$	$e_R$		<b>1</b>	<b>1</b>	$-2$
$H_u^+, H_u^0$	$\tilde{h}_u^+, \tilde{h}_u^0$		<b>1</b>	<b>2</b>	$+1$
$H_d^0, H_d^-$	$\tilde{h}_d^0, \tilde{h}_d^-$		<b>1</b>	<b>2</b>	$-1$
	$\tilde{g}$	$g$	<b>8</b>	<b>1</b>	<b>0</b>
	$\tilde{w}^\pm, \tilde{w}^0$	$W^\pm, W^0$	<b>1</b>	<b>3</b>	<b>0</b>
	$\tilde{b}^0$	$B^0$	<b>1</b>	<b>1</b>	<b>0</b>

# Building blocks

**Matter fields (i.e. quarks, leptons and Higgs)**  
**Chiral multiplets –  $\phi, \psi, F$**

**Recipe**

**for non-gauge interaction terms of a set of chiral supermultiplets  $\phi_i, \psi_i, F_i$ :**

**1. Give a superpotential**

$$W = \frac{1}{2} M_{ij} \phi_i \phi_j + \frac{1}{6} y_{ijk} \phi_i \phi_j \phi_k,$$

**with  $M_{ij}$  and  $y_{ijk}$  completely symmetric.**

**2. Build the interaction lagrangian as**

$$\mathcal{L}_{\text{ng}} = -\frac{1}{2} W_{ij} \psi_i \psi_j + W_i F_i + \text{h.c.}$$

**where**

$$W_i = \frac{\partial W}{\partial \phi_i} \quad W_{ij} = \frac{\partial^2 W}{\partial \phi_i \partial \phi_j}$$

## Gauge interactions

Vector multiplets –  $\lambda, A_\mu, D$

$$\mathcal{L} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{chiral}} + g\sqrt{2} \left( \phi^* T^a \psi \lambda^a + \phi T^a \lambda^{a\dagger} \psi^\dagger \right) + g(\phi^* T^a \phi) D^a$$

is supersymmetric, provided the superpotential is gauge-invariant:

$$\delta_{\text{gauge}} W = W_i (T^a)_{ij} \phi_j = 0$$

The equations of motion for  $D^a$  are now

$$D^a = -g(\phi^* T^a \phi)$$

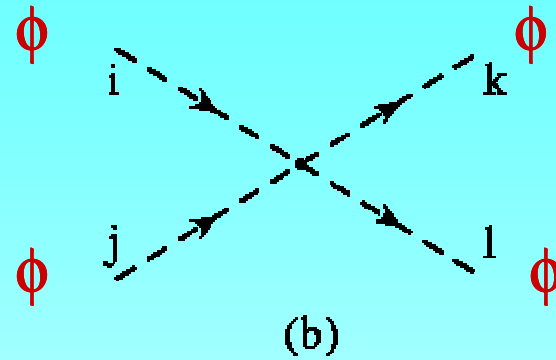
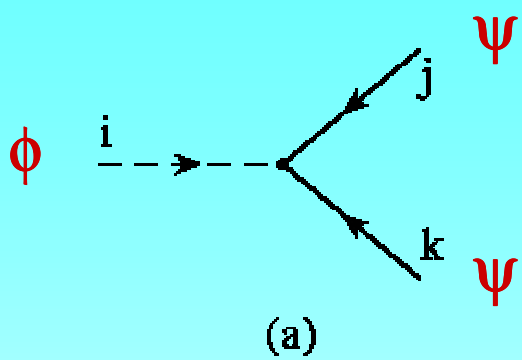
and the scalar potential becomes

$$V(\phi) = F_i^* F_i + \frac{1}{2} D^a D^a = W_i^* W_i + \frac{1}{2} g^2 (\phi^* T^a \phi) (\phi^* T^a \phi)$$

completely determined by the auxiliary fields. This fact is relevant for the discussion of spontaneous supersymmetry breaking.

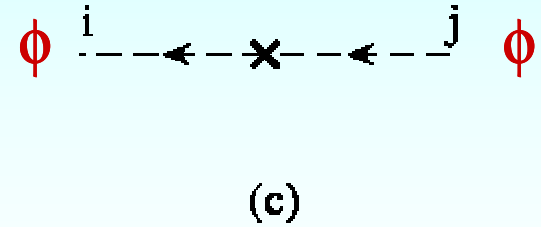
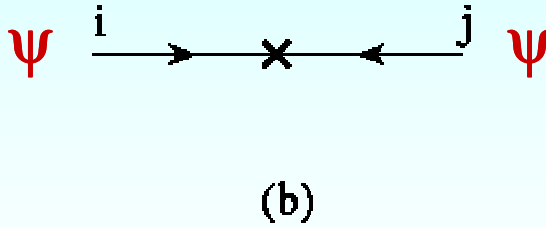
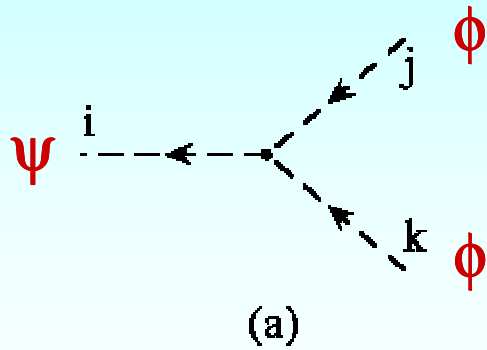
# Couplings in a supersymmetric gauge theory

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$$Y_{ijk}$$

$$Y_{ijm} Y_{klm}^*$$

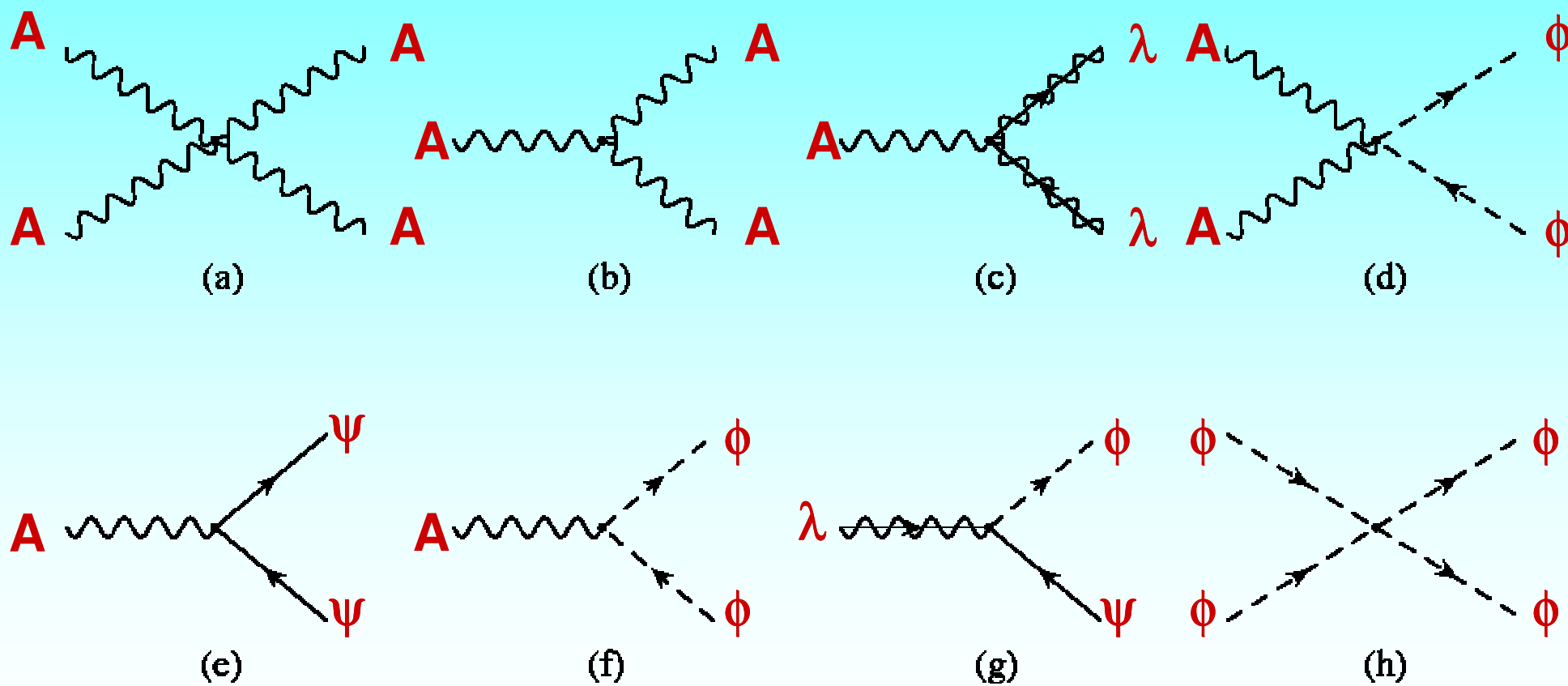


$$M_{im}^* Y_{jkm}$$

$$M_{ij}$$

$$M_{ik}^* M_{kj}$$

## Gauge couplings





## Soft supersymmetry breaking

The most general soft supersymmetry breaking lagrangian is given by

$$\mathcal{L}_{\text{soft}} = -(m^2)_{ij} \phi_i \phi_j^* - \left( \frac{1}{2} m_\lambda \lambda^a \lambda^a + \frac{1}{2} b_{ij} \phi_i \phi_j + \frac{1}{6} a_{ijk} \phi_i \phi_j \phi_k + \text{h.c.} \right)$$

It can be shown that a theory with exact supersymmetry, plus  $\mathcal{L}_{\text{soft}}$ , is free of quadratic divergences.

In principle, there could also be

$$-\frac{1}{2} c_{ijk} \phi_i^* \phi_j \phi_k + \text{h.c.}$$

Usually negligible in most supersymmetry breaking scenarios.

## The minimal supersymmetric Standard Model

With some specifications, there is a unique superpotential which is allowed by the Standard Model gauge invariance and by renormalizability:

$$W_{\text{MSSM}} = \tilde{u}_R^* y_u \tilde{q}_L H_u - \tilde{d}_R^* y_d \tilde{q}_L H_d - \tilde{e}_R^* y_l \tilde{\ell}_L H_d + \mu H_u H_d$$

(call it  $W$  from now on). The first term in detail:

$$\tilde{u}_R^* y_u \tilde{q}_L H_u = (\tilde{u}_R^*)_j^f (y_u)_{fg} (\tilde{q}_L)_\alpha^{gj} (H_u)_\beta \epsilon^{\alpha\beta}$$

$j$  is an  $SU(3)_{\text{color}}$  index,  $j = 1, 2, 3$

$\alpha, \beta$  are  $SU(2)_L$  indices,  $\alpha = 1, 2, \beta = 1, 2$

$f, g$  are generation indices,  $f = 1, 2, 3, g = 1, 2, 3$

If  $\phi_1$  and  $\phi_2$  are  $SU(2)$  doublets,

$$\delta\phi_1 = i\alpha^a \sigma_a \phi_1 \quad \delta\phi_2 = i\alpha^a \sigma_a \phi_2$$

then

$$\phi_1^T \epsilon \phi_2, \quad \epsilon = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \equiv i\sigma_2$$

is an  $SU(2)$  scalar:

$$\delta(\phi_1^T \epsilon \phi_2) = i\alpha^a \phi_1^T (\sigma_a^T \epsilon + \epsilon \sigma_a) \phi_2 = 0$$

because  $\sigma_1, \sigma_3$  are symmetric,  $\sigma_2$  antisymmetric, and  $\{\sigma_a, \sigma_b\} = 2\delta_{ab}$ . The quantities

$$\phi_1^\dagger \phi_1 \quad \phi_1^\dagger \phi_2 \quad \phi_2^\dagger \phi_2$$

are also, obviously,  $SU(2)$  invariants, by they cannot enter the superpotential, which is an analytic function of all scalar fields.

## Some comments:

- It is now clear why we need two Higgs doublets: in the Standard Model,  $H$  gives mass to up-type quarks, while  $H^c = \epsilon H^*$  gives mass to down quarks and leptons. Here,  $H$  and  $H^*$  cannot appear simultaneously in the superpotential.
- $y_u, y_d, y_l$  are  $3 \times 3$  matrices in family space of dimensionless Yukawa couplings. They contain fermion masses and generation mixing. A good approximation:  
 $y_u^{33} = y_t, y_b^{33} = y_b, y_l^{33} = y_\tau$ , all other entries equal to 0.
- No bilinear term other than  $\mu H_u H_d$  is allowed by gauge symmetry.  $\mu$  is the only dimensionful parameter in the superpotential; in particular, mass terms for fermions are forbidden.

**The superpotential originates a large variety of interaction vertices, with coupling constants related by supersymmetry.**

**Recall our recipe,**

$$\mathcal{L}_{\text{ng}} = -W_i W_i^* - \frac{1}{2} W_{ij} \psi_i \psi_j - \frac{1}{2} W_{ij}^* \psi_i^\dagger \psi_j^\dagger$$

**where**

$$W_i = \frac{\partial W}{\partial \phi_i}, W_{ij} = \frac{\partial^2 W}{\partial \phi_i \partial \phi_j}$$

We find e.g.

- Yukawa couplings, such as

$$\frac{\partial W}{\partial \tilde{u}_R^* \partial \tilde{u}_L} \bar{u} u = \bar{u} y_u u H_u^0$$

as in the Standard Model.

- quark-squark-higgsino couplings,

$$\frac{\partial W}{\partial \tilde{u}_R^* \partial H_u^0} \bar{u} \tilde{h}_u^0 = \bar{u} y_u \tilde{q}_L h_u^0$$

- Quartic scalar couplings:

$$\left| \frac{\partial W}{\partial \tilde{u}_R^*} \right|^2 = |y_u \tilde{q}_L H_u|^2$$

The term  $\mu H_u H_d$  provides a higgsino mass term

$$-\mu (\tilde{h}_u^+ \tilde{h}_d^- - \tilde{h}_u^0 \tilde{h}_d^0 + \text{h.c.})$$

and a scalar Higgs mass term

$$-|\mu|^2 \left( |H_u^+|^2 + |H_u^0|^2 + |H_d^0|^2 + |H_d^-|^2 \right)$$

**This term cannot induce spontaneous breaking of the electroweak gauge symmetry. We must rely upon soft breaking terms.**

The value of  $\mu$  is a problem: we expect it to be of the same order ( $\sim 100$  GeV) as the soft breaking terms, in order to provide the correct value of the  $W$  and  $Z$  masses, but it has a completely different origin.

## Accidental symmetries and R-parity

**Baryon and lepton number conservation in the Standard Model follow from accidental symmetries: a consequence of renormalizability.**

**This is no longer true in supersymmetry:**

$$W_{\Delta L=1} = \frac{1}{2} \lambda_{ijk} \tilde{\ell}_L^i \tilde{\ell}_L^j (\tilde{e}_R^k)^* + \frac{1}{2} \lambda'_{ijk} \tilde{\ell}_L^i \tilde{q}_L^j (\tilde{d}_R^k)^* + \mu'_i \tilde{\ell}_L^i H_u$$

$$W_{\Delta B=1} = \frac{1}{2} \lambda''_{ijk} (\tilde{u}_R^i)^* (\tilde{d}_R^j)^* (\tilde{d}_R^k)^*$$

**are allowed by renormalizability and gauge symmetry.**



In order to implement  $B$  and  $L$  conservation we must impose some extra symmetry (not  $B$  and  $L$  themselves: they are violated by nonperturbative effects!).

Consider the so-called **matter parity**:

$$P_M = (-1)^{3(B-L)}$$

where  $B = 1/3$  for quarks and squarks and 0 otherwise,  $L = 1$  for leptons and sleptons, 0 otherwise.

$P_M$  commutes with supersymmetry: all particles in the same supermultiplet have the same  $P_M$  ( $-1$  for quarks and leptons,  $+1$  for Higgs and gauge).

**Requiring  $P_M = +1$  for all terms in the lagrangian (or in the superpotential) eliminates  $B$  and  $L$  violating terms.**

A different, but equivalent language: define  $R$ -parity as

$$P_R = (-1)^{3(B-L)+2s}$$

Conserved if  $P_M$  is conserved, but **does not** commute with supersymmetry:

$$P_R = +1 \quad \text{for standard particles}$$

$$P_R = -1 \quad \text{for superpartners}$$

$R$ -parity conserved  $\Leftrightarrow$  matter parity conserved.

Useful for phenomenology: each vertex must contain an even number of fields with  $P_R = -1$ .

## Consequences of R-parity conservation

- The lightest particle with  $P_R = -1$  is stable. It is called the **LSP**. A candidate for dark matter.
- Supersymmetric particles decay into states with an odd number of  $P_R = -1$  particles.
- Supersymmetric particles are always produced in even numbers (usually 2).

## Soft supersymmetry breaking in the MSSM

The most general soft supersymmetry breaking term for the MSSM is

$$\begin{aligned}
 \mathcal{L}_{\text{soft}} = & \left( -\frac{1}{2} \left( M_3 \tilde{g} \tilde{g} + M_2 \tilde{w} \tilde{w} + M_1 \tilde{b} \tilde{b} + \text{h.c.} \right) \right. \\
 & - \left( \tilde{u}_R^* A_u \tilde{q}_L H_u - \tilde{d}_R^* A_d \tilde{q}_L H_d - \tilde{e}_R^* A_e \tilde{\ell}_L H_d \right) + \text{h.c.} \\
 & - \tilde{q}_L^\dagger m_Q^2 \tilde{q}_L - \tilde{\ell}_L^\dagger m_L^2 \tilde{\ell}_L - \tilde{u}_R^\dagger m_U^2 \tilde{u}_R - \tilde{d}_R^\dagger m_D^2 \tilde{d}_R - \tilde{e}_R^\dagger m_E^2 \tilde{e}_R \\
 & \left. - m_{H_u}^2 H_u^\dagger H_u - m_{H_d}^2 H_d^\dagger H_d - (B H_u H_d + \text{h.c.}) \right)
 \end{aligned}$$

An enormous number of new independent parameters.

All of them are expected to be of order  $m_{\text{soft}}$  (to the appropriate power), something between 100 GeV and 1 TeV.

**Soft breaking terms arise after spontaneous breaking of supersymmetry has taken place; we postpone an analysis of this problem.**

**An anticipation: two main scenarios have been proposed:**

- **gravity-mediated supersymmetry breaking**
- **gauge-mediated supersymmetry breaking**

**The resulting spectrum and couplings (and experimental strategies) are quite different in the two cases.**

**Do we have any guiding principle that can help us reducing the number of arbitrary parameters?**

$m_Q^2, m_U^2, m_D^2, m_E^2$  are arbitrary  $3 \times 3$  matrices in flavour space; they are subject from strong constraints from

- **flavour changing neutral current phenomena (e.g.  $K_0\bar{K}_0$  mixing)**
- **individual leptonic number conservations (e.g.  $\mu \rightarrow e\gamma$ )**
- **CP violation**

**The (unknown) mechanism that generates soft breaking terms must respect these constraints.**

All these constraints are satisfied with the simple assumption that **flavour mixing and CP violation are only originated by Yukawa couplings**. This is achieved by assuming that

- $(m_Q^2)_{fg} = m_Q^2 \delta_{fg}$      $(m_U^2)_{fg} = m_U^2 \delta_{fg}$      $(m_D^2)_{fg} = m_D^2 \delta_{fg}$   
 $(m_E^2)_{fg} = m_E^2 \delta_{fg}$
- $(A_u)_{fg} = A_u (y_u)^{fg}$      $(A_d)_{fg} = A_d (y_d)^{fg}$      $(A_e)_{fg} = A_e (y_e)^{fg}$
- all soft breaking parameters are real.

**This (or any other) structure of soft breaking terms results from some underlying mechanism that breaks supersymmetry at a scale  $Q_0$ , presumably very large (e.g. the grand-unification scale).**

**Predictions at energy scales relevant for our experiments,  $m_{\text{exp}}$ , typically of order 100 to 1000 GeV, will therefore contain large logarithms**

$$\log \frac{Q_0}{m_{\text{exp}}}$$

**induced by radiative corrections. These must be resummed by standard renormalization group techniques.**



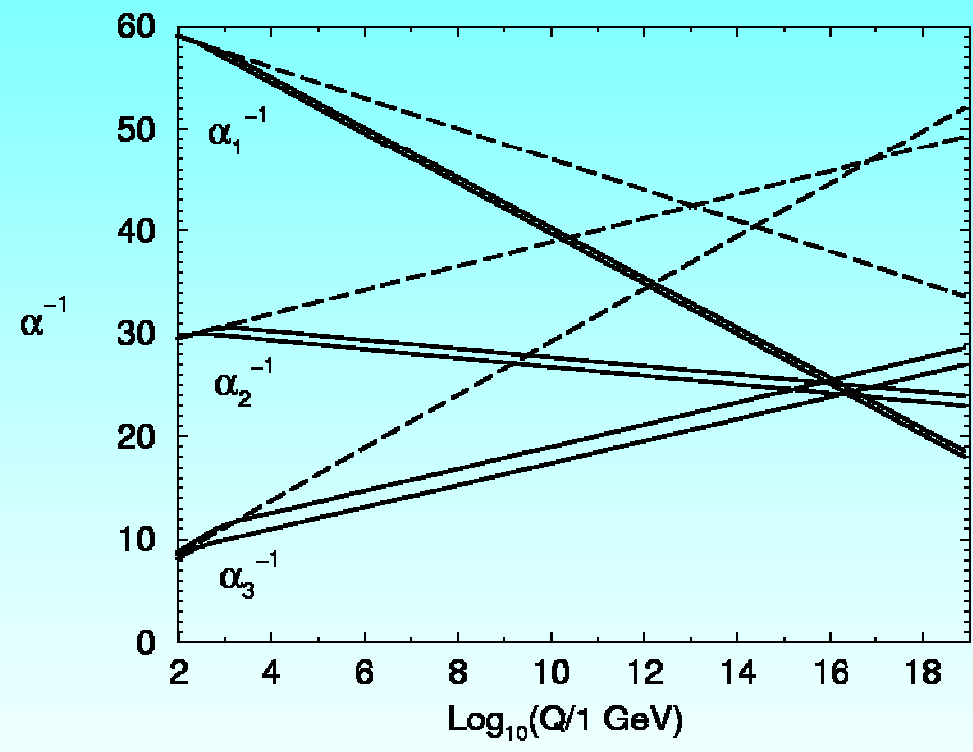
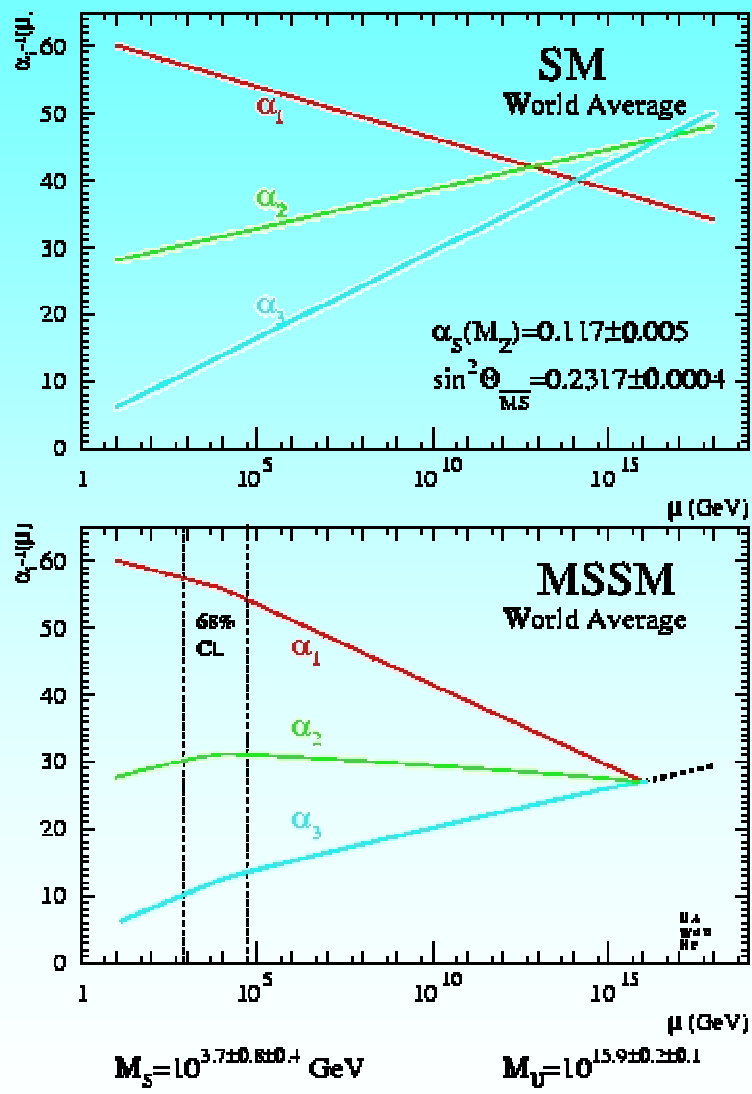
**An encouraging fact: coupling constant unification works much better in the MSSM than in the Standard Model. Define**

$$\begin{aligned}
 g_3 &= g_s \\
 g_2 &= g = \frac{e}{\sin \theta_W} \\
 g_1 &= \sqrt{\frac{5}{3}} g' = \sqrt{\frac{5}{3}} \frac{e}{\cos \theta_W}
 \end{aligned}$$

**(in order to have the same normalization for symmetry generators). The corresponding RGEs at one loop are**

$$\mu^2 \frac{dg_i}{d\mu^2} = \frac{b_i}{8\pi} g_i^3 \quad \Rightarrow \quad \mu^2 \frac{d}{d\mu^2} \frac{1}{\alpha_i} = -b_i$$

**with  $\alpha_i = g_i^2/(4\pi)$ .**



# The mass spectrum

## 1. The Higgs sector

The Higgs potential of the MSSM is

$$\begin{aligned}
 V_{\text{Higgs}} = & (|\mu|^2 + m_{H_d}^2)(|H_d^0|^2 + |H_d^-|^2) + (|\mu|^2 + m_{H_u}^2)(|H_u^0|^2 + |H_u^+|^2) \\
 & + B(H_u^+ H_d^- - H_u^0 H_d^0) + \text{h.c.} \\
 & + \frac{1}{8}(g^2 + g'^2)(|H_u^0|^2 + |H_u^+|^2 - |H_d^0|^2 - |H_d^-|^2)^2 \\
 & + \frac{1}{2}g^2 |H_u^+ H_d^{0*} + H_u^0 H_d^{-*}|^2
 \end{aligned}$$

**No arbitrary quartic couplings: they are fixed by the  $D$  terms.**

**Minimization:** We can take  $H_u^+ = H_d^- = 0$  (good news: EM unbroken) and  $H_u^0, H_d^0$  real and positive at the minimum without loss of generality. Then we restrict to the neutral sector:

$$V_{\text{Higgs}} = (|\mu|^2 + m_{H_d}^2)|H_d^0|^2 + (|\mu|^2 + m_{H_u}^2)|H_u^0|^2 - (BH_u^0 H_d^0 + \text{h.c.}) + \frac{1}{8}(g^2 + g'^2)(|H_u^0|^2 - |H_d^0|^2)^2$$

$B$  may also be taken to be real and positive: no CP violating phases in the Higgs sector.

Perform an  $SU(2)_L$  gauge rotation that makes  $\langle H_u^+ \rangle = 0$ ; then, the condition  $\partial V / \partial H_u^+ = 0$  is only fulfilled if also  $\langle H_d^- \rangle = 0$ .

The only place where the phases of  $H_u^0, H_d^0$  appear is the term

$$-B H_u^0 H_d^0 + \text{h.c.}$$

We can make  $B$  real and positive by a suitable phase choice for  $H_u^0, H_d^0$ .

Clearly, the potential has a minimum if also  $H_u^0 H_d^0$  is real and positive, so  $\langle H_u^0 \rangle$  and  $\langle H_d^0 \rangle$  must have opposite phases, which can be rotated away by a  $U(1)_Y$  gauge transformation.

**Conditions for electroweak spontaneous symmetry breaking:**

1. The origin  $H_u^0 = H_d^0 = 0$  is not a minimum of the potential
2. The potential is bounded from below

**Condition 1.** leads to the constraint

$$(|\mu|^2 + m_{H_d}^2)(|\mu|^2 + m_{H_u}^2) < B^2$$

In some cases, guaranteed by large negative radiative corrections to  $m_{H_u}^2$ .

**Condition 2.** is almost automatic: the quartic couplings are positive definite. There are however **D-flat** directions  $|H_u^0| = |H_d^0|$ . We must require that the potential in these directions is stabilized by quadratic terms:

$$2B < 2|\mu|^2 + m_{H_d}^2 + m_{H_u}^2$$

Define  $v_u = \langle H_u^0 \rangle$  and  $v_d = \langle H_d^0 \rangle$ . We find

$$m_Z^2 = \frac{1}{2}(g^2 + g'^2)(v_u^2 + v_d^2) \Rightarrow v^2 \equiv v_u^2 + v_d^2 \simeq (174 \text{ GeV})^2$$

Following tradition, we also define an angle  $\beta$  through

$$\tan \beta = \frac{v_u}{v_d}$$

Clearly  $0 \leq \beta \leq \pi/2$ . The minimization conditions are

$$|\mu|^2 + m_{H_d}^2 = B \tan \beta - \frac{m_Z^2}{2} \cos 2\beta$$

$$|\mu|^2 + m_{H_u}^2 = B \cot \beta + \frac{m_Z^2}{2} \cos 2\beta$$

The  $\mu$  problem is now evident.

**Mass eigenstates:**

**1. Two CP-odd neutral scalars**

$$\begin{pmatrix} G^0 \\ A^0 \end{pmatrix} = \sqrt{2} \begin{pmatrix} \sin \beta & -\cos \beta \\ \cos \beta & \sin \beta \end{pmatrix} \begin{pmatrix} \text{Im } H_u^0 \\ \text{Im } H_d^0 \end{pmatrix}$$

**2. Two charged scalars**

$$\begin{pmatrix} G^+ \\ H^+ \end{pmatrix} = \begin{pmatrix} \sin \beta & -\cos \beta \\ \cos \beta & \sin \beta \end{pmatrix} \begin{pmatrix} H_u^+ \\ H_d^+ \end{pmatrix}$$

$G^0, G^\pm$  are **pseudo-Goldstone bosons**: they can be removed from the spectrum by an appropriate gauge transformation.

$A^0, H^\pm$  are **physical** degrees of freedom.



### 3. Two CP-even neutral scalars

$$\begin{pmatrix} h^0 \\ H^0 \end{pmatrix} = \sqrt{2} \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} \text{Re } H_u^0 - v_u \\ \text{Re } H_d^0 - v_d \end{pmatrix}$$

Finding the mass eigenvalues is a matter of algebra:

$$m_A^2 = \frac{2B}{\sin 2\beta}$$

$$m_{H^\pm}^2 = m_A^2 + m_W^2$$

$$m_{h,H}^2 = \frac{1}{2} \left( m_A^2 + m_Z^2 \mp \sqrt{(m_A^2 + m_Z^2)^2 - 4m_Z^2 m_A^2 \cos^2 2\beta} \right)$$

$$\frac{\sin 2\alpha}{\sin 2\beta} = -\frac{m_A^2 + m_Z^2}{m_H^2 - m_h^2} \quad \frac{\cos 2\alpha}{\cos 2\beta} = -\frac{m_A^2 - m_Z^2}{m_H^2 - m_h^2}$$

It is easy to show that

$$m_h < |\cos 2\beta| m_Z$$

Radiative corrections weaken this bound. At one loop, and neglecting squark mixing, one finds

$$\Delta m_h^2 \simeq \frac{3g^2}{8\pi^2} \frac{m_t^4}{m_W^2} \log \frac{m_{\bar{t}_1} m_{\bar{t}_2}}{m_t^2}$$

An extra term appears if mixing is taken into account:

$$(\Delta m_h^2)_{\text{mix}} \simeq \frac{3g^2}{8\pi^2} \frac{m_t^4}{m_W^2} \frac{(A_t - \mu \cot \beta)^2}{m_{\bar{t}_1}^2 - m_{\bar{t}_2}^2} \left[ \log \frac{m_{\bar{t}_1}^2}{m_{\bar{t}_2}^2} + (A_t - \mu \cot \beta)^2 f(m_{\bar{t}_1}^2, m_{\bar{t}_2}^2) \right]$$

$$f(a, b) = \frac{1}{a - b} \left[ 1 - \frac{1}{2} \frac{a + b}{a - b} \log \frac{a}{b} \right]$$

Including all corrections, one obtains approximately

$$m_h \lesssim 130 \text{ GeV}$$

still very interesting in view of LHC.

Yukawa couplings are related to fermion masses in the third generation by

$$y_t = \frac{m_t}{\sqrt{2}m_W \sin \beta} \quad y_b = \frac{m_b}{\sqrt{2}m_W \cos \beta} \quad y_\tau = \frac{m_\tau}{\sqrt{2}m_W \cos \beta}$$

A difference with respect to the Standard Model:  $y_b$  and  $y_\tau$  may be as large as  $y_t$ , if  $\tan \beta$  is large enough ( $\tan \beta < 1$  strongly disfavoured).

The couplings of  $h, H, A$  to standard particles are the same as in the Standard Model, rescaled by  $\alpha$ - and  $\beta$ -dependent factors:

	$d\bar{d}, s\bar{s}, b\bar{b}$ $e^+e^-, \mu^+\mu^-, \tau^+\tau^-$	$u\bar{u}, c\bar{c}, t\bar{t}$	$W^+W^-, ZZ$
$h$	$-\sin \alpha / \cos \beta$	$\cos \alpha / \sin \beta$	$\sin(\beta - \alpha)$
$H$	$\cos \alpha / \cos \beta$	$\sin \alpha / \sin \beta$	$\cos(\beta - \alpha)$
$A$	$-i\gamma_5 \tan \beta$	$-i\gamma_5 \cot \beta$	0

At tree level, the Higgs sector is described by two parameters (a common choice:  $m_A^2$  and  $\tan \beta$ ).

## 2. Neutralinos and charginos

The supersymmetric partner of neutral gauge bosons,  $\tilde{w}^0$  and  $\tilde{b}$ , and of Higgs scalars,  $\tilde{h}_u^0, \tilde{h}_d^0$ , are not mass eigenstates. We find

$$\mathcal{L}_n = -\frac{1}{2} \tilde{\psi}_0^T M_n \tilde{\psi}_0 + \text{h.c.}$$

where the matrix  $M_n$  in the basis  $\tilde{b}, \tilde{w}^0, \tilde{h}_d^0, \tilde{h}_u^0$  is given by

$$\begin{bmatrix} M_1 & 0 & -m_Z \cos \beta \sin \theta_W & m_Z \sin \beta \sin \theta_W \\ 0 & M_2 & m_Z \cos \beta \cos \theta_W & -m_Z \sin \beta \cos \theta_W \\ -m_Z \cos \beta \sin \theta_W & m_Z \cos \beta \cos \theta_W & 0 & -\mu \\ m_Z \sin \beta \sin \theta_W & -m_Z \sin \beta \cos \theta_W & -\mu & 0 \end{bmatrix}$$

The mass matrix  $M_n$  is symmetric, and can be diagonalized by a unitary transformation  $N$ . The four mass eigenstates

$$\tilde{\chi}_i^0 = N_{ij} \tilde{\psi}_j^0; \quad M_n = N^T \hat{M}_n N$$

are called **neutralinos**. Their couplings are entirely determined by the matrix  $N$ , and thus by the four parameters

$$M_1, M_2, \mu, \tan \beta$$

The lightest neutralino is in most models the **LSP**; neutralinos play a crucial role in phenomenology.

## Charged gauginos and higgsinos

$$\tilde{w}^+, \tilde{h}_u^+, \tilde{w}^-, \tilde{h}_d^-$$

mix in a similar way:

$$\mathcal{L}_e = -\frac{1}{2} \tilde{\psi}_e^T M_e \tilde{\psi}_e + \text{h.c.}$$

$$M_e = \begin{bmatrix} 0 & X^T \\ X & 0 \end{bmatrix} \quad X = \begin{bmatrix} M_2 & \sqrt{2}m_W \sin \beta \\ \sqrt{2}m_W \cos \beta & \mu \end{bmatrix}$$

The mass eigenstates (called **charginos**) are found by diagonalizing  $X$  with a bi-unitary transformation:

$$\begin{pmatrix} \tilde{\chi}_1^+ \\ \tilde{\chi}_2^+ \end{pmatrix} = V \begin{pmatrix} \tilde{w}^+ \\ \tilde{h}_u^+ \end{pmatrix} \quad \begin{pmatrix} \tilde{\chi}_1^- \\ \tilde{\chi}_2^- \end{pmatrix} = U \begin{pmatrix} \tilde{w}^- \\ \tilde{h}_d^- \end{pmatrix}$$

$$X = U^T \begin{pmatrix} m_{\tilde{\chi}_1^\pm} & 0 \\ 0 & m_{\tilde{\chi}_2^\pm} \end{pmatrix} V$$

$$m_{\tilde{\chi}_1^\pm, \tilde{\chi}_2^\pm}^2 = \frac{1}{2} \left[ \left( |M_2|^2 + |\mu|^2 + 2m_W^2 \right) \mp \sqrt{\left( |M_2|^2 + |\mu|^2 + 2m_W^2 \right)^2 - 4|\mu M_2 - m_W^2 \sin 2\beta|^2} \right]$$

As in the case of neutralinos, the matrices  $U, V$  contain all the relevant information about chargino couplings.



It is quite natural to assume that gaugino masses have a common value  $m_{1/2}$  at the reference scale  $Q_0$ , where the (properly normalized) gauge couplings take approximately the same value  $\alpha_U \sim 0.04$ .

It turns out that gaugino running masses obey the same renormalization group equations. Therefore, with the above assumption,

$$M_i(Q) = \frac{\alpha_i(Q)}{\alpha_U} m_{1/2}$$

This reduces the number of arbitrary parameters in the gaugino sector.

### 3. Squarks and sleptons

The scalar partners of matter fermions (squarks and sleptons) have mass matrices with contributions from various terms:

- quartic Higgs-Higgs-squark-squark vertices
- trilinear Higgs-squark-squark couplings
- soft-breaking masses
- $D$ -terms

In general, there is mixing among different families, and mixing between scalar partners of left- and right-handed fermions. This can be sizeable in the third generation.

## Soft supersymmetry breaking in the MSSM

The most general soft supersymmetry breaking term for the MSSM is

$$\begin{aligned}
 \mathcal{L}_{\text{soft}} = & -\frac{1}{2} \left( M_3 \tilde{g} \tilde{g} + M_2 \tilde{w} \tilde{w} + M_1 \tilde{b} \tilde{b} + \text{h.c.} \right) \\
 & - \left( \tilde{u}_R^* A_u \tilde{q}_L H_u - \tilde{d}_R^* A_d \tilde{q}_L H_d - \tilde{e}_R^* A_e \tilde{\ell}_L H_d \right) + \text{h.c.} \\
 & - \tilde{q}_L^\dagger m_Q^2 \tilde{q}_L - \tilde{\ell}_L^\dagger m_L^2 \tilde{\ell}_L - \tilde{u}_R^\dagger m_U^2 \tilde{u}_R - \tilde{d}_R^\dagger m_D^2 \tilde{d}_R - \tilde{e}_R^\dagger m_E^2 \tilde{e}_R \\
 & - m_{H_u}^2 H_u^\dagger H_u - m_{H_d}^2 H_d^\dagger H_d - (B H_u H_d + \text{h.c.})
 \end{aligned}$$

An enormous number of new independent parameters.

All of them are expected to be of order  $m_{\text{soft}}$  (to the appropriate power), something between 100 GeV and 1 TeV.

Mass matrices for squarks and sleptons in the third generation, in the simplified situation outlined above:

$$\mathbf{s - top :} \quad (\tilde{t}_L^* \quad \tilde{t}_R^*) \begin{pmatrix} m_Q^2 + m_t^2 + \Delta_Q & m_t(A_t - \mu \cot \beta) \\ m_t(A_t - \mu \cot \beta) & m_U^2 + m_t^2 + \Delta_U \end{pmatrix} \begin{pmatrix} \tilde{t}_L \\ \tilde{t}_R \end{pmatrix}$$

$$\mathbf{s - bottom :} \quad (\tilde{b}_L^* \quad \tilde{b}_R^*) \begin{pmatrix} m_Q^2 + m_b^2 + \Delta_Q & m_b(A_b - \mu \tan \beta) \\ m_b(A_b - \mu \tan \beta) & m_D^2 + m_b^2 + \Delta_D \end{pmatrix} \begin{pmatrix} \tilde{b}_L \\ \tilde{b}_R \end{pmatrix}$$

$$\mathbf{s - tau :} \quad (\tilde{\tau}_L^* \quad \tilde{\tau}_R^*) \begin{pmatrix} m_L^2 + m_\tau^2 + \Delta_L & m_\tau(A_\tau - \mu \tan \beta) \\ m_\tau(A_\tau - \mu \tan \beta) & m_E^2 + m_\tau^2 + \Delta_E \end{pmatrix} \begin{pmatrix} \tilde{\tau}_L \\ \tilde{\tau}_R \end{pmatrix}$$

where

$$\Delta = m_Z^2 (T_3 - Q \sin^2 \theta_W) \cos 2\beta$$

## Spontaneous supersymmetry breaking

How are soft supersymmetry-breaking terms generated? We need a mechanism of spontaneous supersymmetry breaking (explicit breaking not acceptable).

A symmetry is said to be spontaneously broken when the vacuum state is not invariant under that symmetry:

$$Q|0\rangle \neq 0$$

Supersymmetry is no exception. This can happen only if some of the auxiliary fields  $F_i$  or  $D^a$  acquires a non-zero vacuum expectation value.

**Proof: the supersymmetry algebra implies**

$$H = P^0 = \frac{1}{4} \left( Q_1 Q_1^\dagger + Q_1^\dagger Q_1 + Q_2 Q_2^\dagger + Q_2^\dagger Q_2 \right)$$

**which implies**

$$Q_i |0\rangle \neq 0 \Leftrightarrow \langle 0|H|0\rangle > 0 \Leftrightarrow \langle 0|V|0\rangle > 0$$

**for a translation-invariant vacuum state. But**

$$V = F_i F_i^* + \frac{1}{2} D^a D^a$$

**so supersymmetry is spontaneously broken if and only if the system**

$$\langle 0|F_i|0\rangle = 0 \quad \langle 0|D^a|0\rangle = 0$$

**has no solution.**

Spontaneous breaking based on  $\langle D^a \rangle \neq 0$  for some  $D^a$  is called **à la Fayet-Iliopoulos**. If there is a  $U(1)$  factor in the gauge group, a term

$$\mathcal{L}_{D\text{-breaking}} = kD$$

can be added to the lagrangian. Then

$$V = \frac{1}{2}D^2 - kD + gD \sum_i q_i \phi_i \phi_i^*$$

$$D = k - g \sum_i q_i \phi_i \phi_i^*$$

$D$  is different from zero if for some reason all scalar fields are zero at the minimum. This is *not* the case in the MSSM.

Not very promising, although not completely ruled out.

Models in which supersymmetry is spontaneously broken by  $\langle F_i \rangle \neq 0$  for some  $F_i$  are called **O’Raifeartaigh models**. An explicit example: three chiral superfields, and

$$W = -k\phi_1 + m\phi_2\phi_3 + \frac{y}{2}\phi_1\phi_3^2$$

where  $\phi_1$  must be a **gauge singlet**. Then

$$V = |F_1|^2 + |F_2|^2 + |F_3|^2$$

$$F_1 = k - \frac{y}{2}\phi_3^{*2} \quad F_2 = -m\phi_3^* \quad F_3 = -m\phi_2^* - y\phi_1^*\phi_3^*$$

$F_1 = F_2 = F_3 = 0$  has no solution. For  $m^2 > yk$ , the minimum of  $V$  is for  $\phi_2 = \phi_3 = 0$ ,  $\phi_1$  undetermined (flat direction).



The solution is  $F_1 = k$ ,  $V = k^2$  at the minimum. The flat direction is no longer flat after radiative corrections, and the minimum is at  $\phi_1 = 0$ . The spectrum: six scalars with masses

$$0 \quad 0 \quad m^2 \quad m^2 \quad m^2 - yk \quad m^2 + yk$$

and three fermions with masses

$$0 \quad m \quad m$$

The zero-mass fermion is the analog of a Goldstone boson in broken ordinary symmetry: we call it a **goldstino** (sic). It is the partner of the auxiliary field  $F_1$ , responsible for supersymmetry breaking.

**A general conclusion: spontaneous breaking of supersymmetry cannot take place within the MSSM. There is no gauge-singlet supermultiplet whose auxiliary field can take a non-vanishing vacuum expectation value.**

**Hard to do by simply extending the MSSM with new fields and new renormalizable interactions:**

- **gaugino masses cannot arise from renormalizable couplings**
- **the spectrum must include light scalars:**

$$\text{STr}\mathcal{M}^2 = \sum_i (-1)^{(2s)} (2s + 1) m_i^2 = 0$$

**even after supersymmetry breaking.**

Mass terms are generated after spontaneous symmetry breaking as, for example, quark masses in the standard model:

$$y \phi \bar{\psi} \psi \rightarrow y \langle \phi \rangle \bar{\psi} \psi = m \bar{\psi} \psi$$

In supersymmetry, the same mechanism is at work. A gaugino mass could be generated either by a coupling

$$\phi \lambda \lambda \rightarrow \langle \phi \rangle \lambda \lambda$$

but such a term is absent in a supersymmetric theory, or by

$$F \lambda \lambda \rightarrow \langle F \rangle \lambda \lambda$$

which is not renormalizable.

**Way out:** assume that supersymmetry is broken in a **hidden sector** of non-observable degrees of freedom, that communicate somehow with the observable sector. Historically, two proposals: supersymmetry breaking communicated to the observable sector by

1. **gravitational interactions**
2. **gauge interactions**

**The differences between the two cases are due to a different size of the supersymmetry breaking scale**

$$\langle 0|F|0\rangle$$

**In gravity mediated supersymmetry breaking, soft breaking terms arise from (in general nonrenormalizable) interaction terms (of gravitational strength) of observable fields with combinations of fields in the hidden sector that acquire a non-zero vev. We expect therefore**

$$m_{\text{soft}} \sim \frac{\langle F \rangle}{M_P}$$

**on dimensional grounds:  $m_{\text{soft}}$  must vanish as  $\langle F \rangle$  goes to zero (no spontaneous breaking) and as  $M_P \rightarrow \infty$  (no interaction between hidden and observable sectors).**

**For  $m_{\text{soft}} \sim 1$  TeV we get**

$$\sqrt{\langle F \rangle} \sim 10^{11} \text{ GeV}$$

In the **gauge mediated** scenario, supersymmetry breaking is communicated to the observable sector by the ordinary gauge interactions via loop effects due to particles called **messengers**.

A rough estimate of the relevant supersymmetry breaking scale can be obtained. We have in this case

$$m_{\text{soft}} \sim \frac{\alpha}{4\pi} \frac{\langle F \rangle}{M}$$

where  $M$  is the typical messenger mass. This corresponds to a much lower value for the supersymmetry order parameter:

$$\sqrt{\langle F \rangle} \sim 10^4 - 10^5 \text{ GeV}$$

if  $\langle F \rangle$  and  $M$  are assumed to be roughly of the same size.

## A closer look to the goldstino

The goldstino field can be easily identified. The full fermion mass matrix of a generic supersymmetric theory is

$$M_f = \begin{pmatrix} 0 & \sqrt{2}g_a(T^a\langle\phi\rangle)_i \\ \sqrt{2}g_a(\langle\phi^*\rangle T^a)_j & \langle W_{ij}\rangle \end{pmatrix}$$

There is always one combination of fermion fields that corresponds to zero mass:

$$\tilde{G} = \begin{pmatrix} \langle D^a\rangle/\sqrt{2} \\ \langle F_i\rangle \end{pmatrix}$$

is an eigenvector of  $M_f$  with zero eigenvalue. This is the goldstino.

The first component of  $M_f \tilde{G}$  is

$$\sqrt{2} \langle g_a (T^a \phi)_i F_i \rangle = -\sqrt{2} \langle g_a (T^a \phi)_i W_i^* \rangle = -\sqrt{2} \left\langle \frac{\partial W^*}{\partial \phi_i^*} \delta_{\text{gauge}} \phi_i^* \right\rangle = 0$$

by gauge-invariance of the superpotential.

The second component is given by

$$\langle g_a (\phi^* T^a)_j D^a + W_{ij} F_i \rangle$$

The scalar potential is

$$V = F_i F_i^* + \frac{1}{2} D^a D^a = W_i W_i^* + \frac{1}{2} g_a^2 (\phi^* T^a \phi)^2$$

It follows that

$$\begin{aligned} \left\langle \frac{\partial V}{\partial \phi_k} \right\rangle &= \langle W_i^* W_{ik} + g_a^2 (\phi^* T^a \phi) (\phi^* T^a)_k \rangle \\ &= -\langle F_i W_{ik} + g_a D^a (\phi^* T^a)_k \rangle = 0 \end{aligned}$$



Let us consider the case of **local** supersymmetry:  $\eta = \eta(x)$ . Then

$$(\delta_{\eta_1} \delta_{\eta_2} - \delta_{\eta_2} \delta_{\eta_1})X = -(\eta_1^\dagger \bar{\sigma}^\mu \eta_2 - \eta_2^\dagger \bar{\sigma}^\mu \eta_1) i\partial_\mu X$$

is a **local** translation, i.e. a general coordinate transformation.

Gravitation is included in a natural way.

Local supersymmetry is called **supergravity**.

In this case, the so-called **super-Higgs** effect takes place: the spin-3/2 partner of the graviton, the **gravitino**, acquires a mass.

The missing spin degrees of freedom are provided by the goldstino.

By dimensional analysis,

$$m_{3/2} \sim \frac{\langle F \rangle}{M_P}$$

Clearly, the gravitino mass has very different values in gravity-mediated and gauge mediated scenarios:

- gravity-mediated: the gravitino mass

$$m_{3/2} \sim \frac{\langle F \rangle}{M_P} \sim m_{\text{soft}}$$

and its interactions are gravitational. Almost no interest for phenomenology at colliders.

- gauge-mediated:

$$m_{3/2} \sim \frac{\langle F \rangle}{M_P} \sim \frac{10^5}{10^{19}} \text{ GeV}$$

if  $M \sim \langle F \rangle \ll M_P$ . Its goldstino components may have non-negligible couplings, and may therefore play a role in LHC physics.

## Gravity-mediated models: some details

The supergravity lagrangian is non-renormalizable (as expected). Assume there is a hidden chiral superfield  $X$  whose auxiliary component  $F_X$  gets a non-zero vev. The lagrangian includes

$$\begin{aligned} \mathcal{L} = & -\frac{1}{M_P} F_X \sum_a \frac{1}{2} f_a \lambda^a \lambda^a + \text{h.c.} \\ & + \frac{1}{M_P^2} F_X F_X^* k_{ij} \phi_i^* \phi_j \\ & - \frac{1}{M_P} F_X \left( \frac{1}{6} y'_{ijk} \phi_i \phi_j \phi_k + \frac{1}{2} \mu'_{ij} \phi_i \phi_j + \text{h.c.} \right) \end{aligned}$$

When  $F_X \rightarrow \langle F_X \rangle$ , this gives precisely the soft terms we need.

## Soft supersymmetry breaking in the MSSM

The most general soft supersymmetry breaking term for the MSSM is

$$\begin{aligned}
 \mathcal{L}_{\text{soft}} = & -\frac{1}{2} \left( M_3 \tilde{g} \tilde{g} + M_2 \tilde{w} \tilde{w} + M_1 \tilde{b} \tilde{b} + \text{h.c.} \right) \\
 & - \left( \tilde{u}_R^* A_u \tilde{q}_L H_u - \tilde{d}_R^* A_d \tilde{q}_L H_d - \tilde{e}_R^* A_e \tilde{\ell}_L H_d \right) + \text{h.c.} \\
 & - \tilde{q}_L^\dagger m_Q^2 \tilde{q}_L - \tilde{\ell}_L^\dagger m_L^2 \tilde{\ell}_L - \tilde{u}_R^\dagger m_U^2 \tilde{u}_R - \tilde{d}_R^\dagger m_D^2 \tilde{d}_R - \tilde{e}_R^\dagger m_E^2 \tilde{e}_R \\
 & - m_{H_u}^2 H_u^\dagger H_u - m_{H_d}^2 H_d^\dagger H_d - (B H_u H_d + \text{h.c.})
 \end{aligned}$$

An enormous number of new independent parameters.

All of them are expected to be of order  $m_{\text{soft}}$  (to the appropriate power), something between 100 GeV and 1 TeV.

In a *minimal* version of supergravity, there are considerable simplifications:

$$f_a = f \quad k_{ij} = k\delta_{ij} \quad y' = \alpha y \quad \mu' = \beta\mu$$

This leads to

$$M_1 = M_2 = M_3 = m_{1/2}$$

$$m_Q^2 = m_U^2 = m_D^2 = m_L^2 = m_E^2 = m_{H_u}^2 = m_{H_d}^2 = m_0^2$$

$$A_u = A_0 y_u \quad A_d = A_0 y_d \quad A_e = A_0 y_e$$

$$B = B_0 \mu$$

Neutral flavour changing effects automatically suppressed.

## Gauge-mediated models: some details

In the simplest version, the model contains four messenger supermultiplet:

$$q \sim \left( \mathbf{3}, 1, -\frac{2}{3} \right) \quad \bar{q} \sim \left( \bar{\mathbf{3}}, 1, +\frac{2}{3} \right) \quad l \sim (1, 2, +1) \quad \bar{l} \sim (1, 2, -1)$$

and a chiral multiplet  $S$ , whose scalar and auxiliary components take a vev (e.g. by the O’Raifeartaigh mechanism). They interact through a superpotential

$$W_{\text{messengers}} = y_2 S l \bar{l} + y_3 S q \bar{q}$$

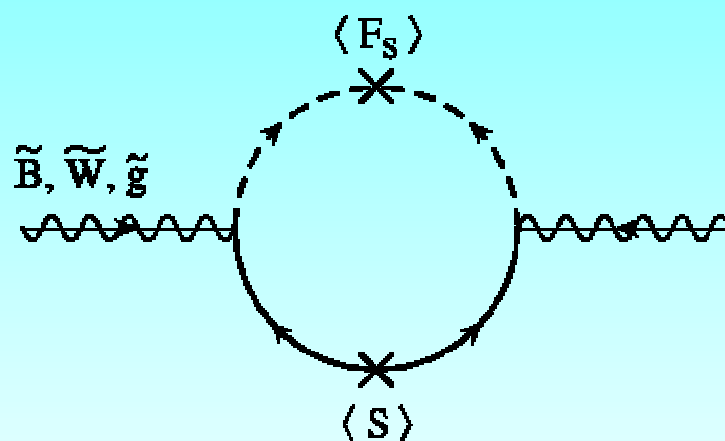
which becomes effectively

$$W_{\text{messengers}} = y_2 \langle S \rangle l \bar{l} + y_3 \langle S \rangle q \bar{q}$$

## Gauginos get masses

$$M_\alpha = \frac{\alpha_\alpha}{4\pi} \frac{\langle F_S \rangle}{\langle S \rangle}$$

through one-loop diagrams:



Soft scalar masses and trilinear couplings arise at two-loops. One gets

$$A_u = A_d = A_e = 0$$

at the messenger scale.

## General features of soft masses in gauge-mediated models:

- larger for strongly-interacting particles
- determined by gauge properties only

The second property leads to a degeneracy in squarks and slepton masses, that suppresses FCNC effects.



## Summary

- **Supersymmetry provides a solution to the naturalness problem without spoiling the good features of the Standard Model.**
- **It has a number of welcome side-effects:**
  - **a better context for grand unification**
  - **a natural candidate for cold dark matter.**
- **A large portion of the parameter space for supersymmetric theories has already been explored.**
- **Supersymmetry may be relevant in different forms (superstrings).**