

Beyond the Standard Model

Lecture 2

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SOME QUESTIONS BEYOND THE SM

i) Values of couplings, masses and mixings

- Can they be computed in some new underlying theory?

ii) The origin of electroweak symmetry breaking

- Comes from an elementary Higgs? Composite? or?

iii) The fine-tuning problems:

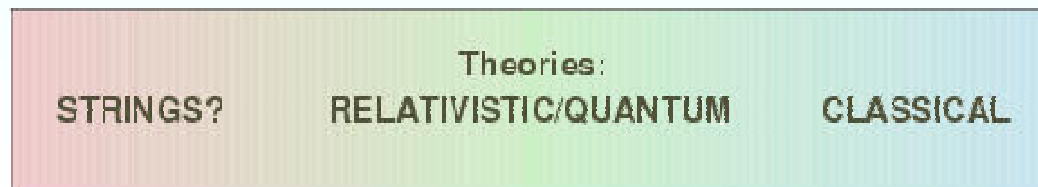
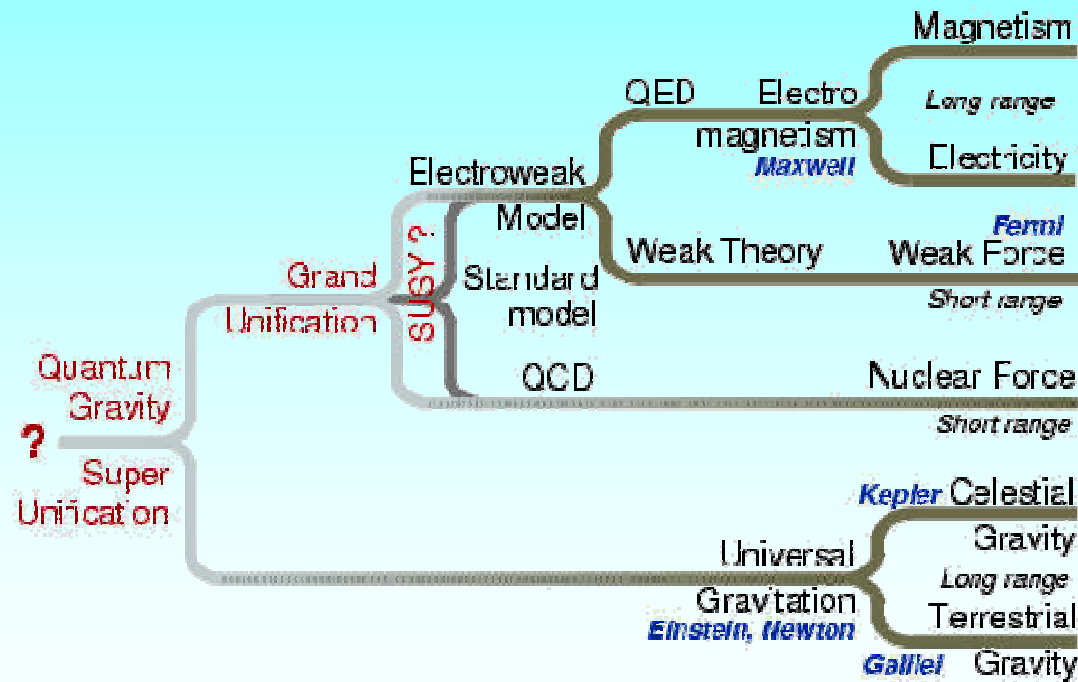
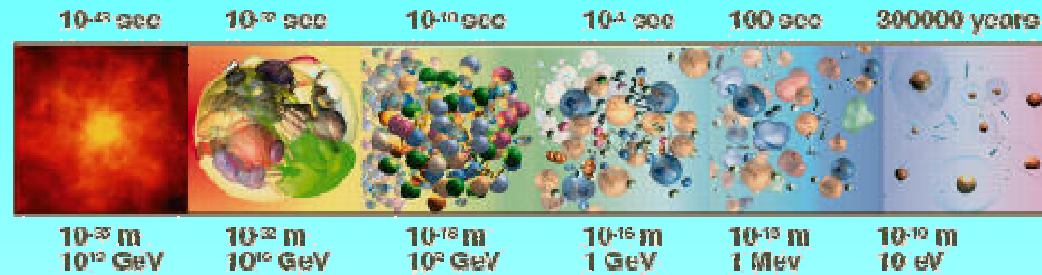
- The cosmological constant puzzle
- The strong-CP problem
- The gauge hierarchy problem

iii) Unification with quantum gravity

- String theory?

GRAND UNIFIED THEORIES

Interactions unification



GRAND UNIFICATION: SO(10)

- $SO(10) \supset SU(5) \times U(1)$
- **GAUGE BOSONS:** In adjoint representation, which has dimension 45 and transforms under $SU(5)$ like

$$45 = 24 + 10 + \overline{10} + 1$$

- **FERMIONS:** all quarks and leptons fit into a **single spinorial multiplet** of dimension 16

$$16 = (\nu_L u_L^1 u_L^2 u_L^3 ; e_L d_L^1 d_L^2 d_L^3 ; d_R^3 d_R^2 d_R^1 e_R ; u_R^3 u_R^2 u_R^1 \nu_R)$$



LEFT-RIGHT SYMMETRY

- The theory predicts the existence of a right-handed neutrino ν_R .

- GAUGE SYMMETRY BREAKING DOWN TO SM is more complicated and requires not only adjoint scalars Φ_{45} but also χ_{16} or Σ_{126} . Breaking may occur in steps

$$\begin{aligned}
 SO(10) & \longrightarrow SU(3) \times SU(2) \times U(1) \\
 SO(10) & \longrightarrow SU(5) \times U(1) \longrightarrow SU(3) \times SU(2) \times U(1) \\
 SO(10) & \longrightarrow SU(4) \times SU(2)_L \times SU(2)_R \longrightarrow SU(3) \times SU(2) \times U(1)
 \end{aligned}$$

- Electroweak symmetry breaking proceeds through scalars in the $SO(10)$ fundamental, H_{10} .
- If $SO(10)$ is broken directly to the SM the predictions are similar to those of $SU(5)$.
- In the simplest $SO(10)$ model Yukawa couplings are more constrained since there is a single Yukawa coupling

$$L_{Yuk}^{SO(10)} = Y^{ij} \bar{\psi}_{16}^i \psi_{16}^j H_{10} + h.c.$$

- This leads to a unification (at the GUT scale):

$$Y_U^{ij} = Y_D^{ij} = Y_L^{ij} = Y_N^{ij}$$

(However a single Higgs field H_{10} is not enough to get realistic spectrum since there would be no mixing).

NEUTRINO MASSES AND GUTS

- Neutral fermions like neutrinos can have **three types of mass terms**:

Type	Term	ΔL	ΔY
LH-Majorana	$M_L \nu_L \nu_L$	2	1
Dirac	$M_D \bar{\nu}_L \nu_R$	0	1/2
RH-Majorana	$M_R \nu_R \nu_R$	2	0

- We saw that in the SM there are only left-handed neutrinos ν_L . This means, that in the SM there are no possible Dirac masses.
- On the other hand if there is a new mass scale M_X where lepton number is broken the effective dim=5 operator may appear in the theory:

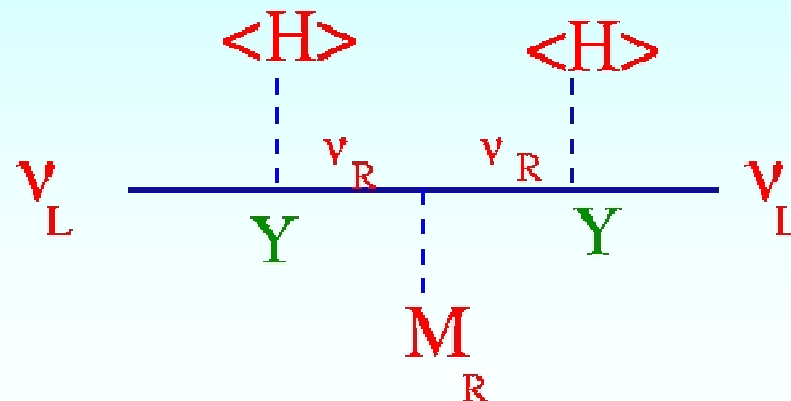
$$\frac{h_{ij}}{M_X} \nu_L^i \nu_L^j H H + h.c.$$

- Once the Higgs field gets a vev $\langle H \rangle = M_W / g$,

LH-Majorana neutrino masses M_L appear of order:

$$M_L^{ij} = \frac{h_{ij} \langle H \rangle^2}{M_X}$$

- If $h_{ij} \simeq 1$ and $M_X \simeq 10^{14} - 10^{15}$ GeV, neutrino masses $\simeq 0.1 - 0.1$ eV's are obtained.
- GUT's provide for a natural explanation for such a large lepton number violating scale M_X ^a.
- Indeed, in GUT's like $SO(10)$:
 - Lepton number symmetry is broken
 - There are right-handed neutrinos ν_R with masses of order M_X
 - The dim=5 operator mentioned above naturally appears:



- Neutrinos may have normal Dirac mass terms M_D as any other fermion. However, since the right-handed neutrino has very large mass of order M_X , the induced Majorana mass for the left-handed ν is of order $M_L \simeq M_D^2 / M_X$. This is the **SEE-SAW mechanism**.
- Thus one can claim that **GUT's naturally predict the smallness of neutrino masses**
- Many **$SO(10)$ models supplemented by some extra symmetries** have been proposed in order to describe the **observed neutrino oscillation patterns**.
- In my opinion, none of them are extremely compelling. They often include Higgs representations with 126 components and or poorly motivated non-renormalizable couplings.
- One interesting point of the **see-saw models of neutrino masses** is that they can **give rise to the observed baryon asymmetry of the universe**.
- Indeed, **out-of-equilibrium decay of right-handed neutrinos can give rise to a lepton asymmetry in the universe**. The latter will then be transformed by non-perturbative $SU(2)_L$ effects into a **baryon asymmetry**^b.
- This mechanism for generating the observed baryon asymmetry in the universe is called **LEPTOGENESIS**.

SUMMARY OF (non-SUSY) GUT's

- Charge quantization and the unification of quarks and leptons in simpler multiplets are very attractive.
- Also attractive is the understanding of the smallness of neutrino masses.
- However simpler non-SUSY GUT's are ruled out both by proton stability and coupling unification.
- We will see that going to SUSY-GUT's will avoid those two problems. In addition it will help in solving the notorious hierarchy problem that we will discuss soon.
- Nevertheless we will eventually see that some problems of GUT's will still remain, even in the SUSY case.
- Note also that GUT's give no clue about questions like existence of three generations or the full structure of fermion masses and mixings.
- A lot of work has been done in trying to write down models of fermion masses and mixings:

THE PUZZLE OF FERMION MASSES AND MIXINGS

- We described before the peculiar hierarchical structure of fermion masses and mixings in the SM
- We would like to have a **theory of flavor** explaining:
 - Why there are 3 generations
 - Why the hierarchical structure of fermion masses
 - Why CKM matrix is close to unity
 - Understand origin and size of CP violation
- At the moment we do not have a totally compelling theory of fermion masses
- There are plenty of models which **combine a GUT symmetry with some flavor symmetry in generation space**.
- It is impossible to review all approaches attempted.
- Let me just qualitatively describe a general approach based on **flavor $U(1)$ symmetries : the Froggatt-Nielsen scenario**^a.

^aFroggatt,Nielsen (1979);Leurer,Nir, Seiberg (1993)

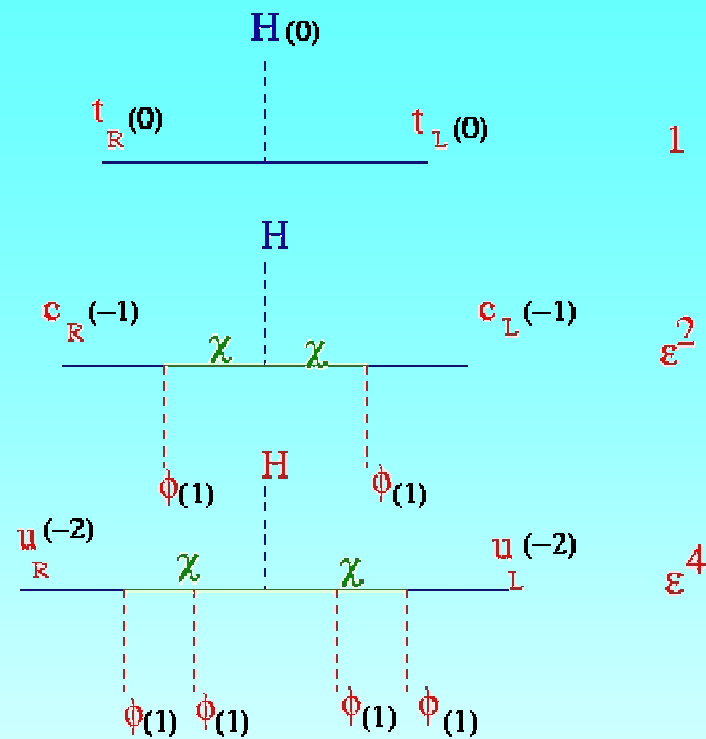
THE FROGGATT-NIELSEN SCENARIO

- The idea goes as follows: extend the SM (or a GUT) with:
 - A new gauged $U(1)$ symmetry .
 - One (or more) scalar singlet fields ϕ_{FN} charged under the $U(1)$. A vev $\langle \phi_{FN} \rangle \neq 0$ breaks the $U(1)$ symmetry slightly below the GUT scale.
 - Extra fermions χ_i with masses of order the GUT scale .
- The idea is to assume that the $U(1)$ charges of quarks, leptons and Higgs are such that all standard renormalizable Yukawa couplings are forbidden except for those of the heaviest quarks and leptons.
- The lightest generations get suppressed effective Yukawa couplings from non-renormalizable couplings involving the scalar singlet field ϕ_{FN} :

$$\frac{h}{(M_X)^{n_{ij}}} \bar{\psi}_i \psi_j H_{WS} \phi_{FN}^{n_{ij}} ; \quad i, j = 1, 2, 3$$

- Once ϕ_{FN} gets a vev $\langle \phi_{FN} \rangle = \epsilon M_X$, $\epsilon \simeq 0.1$, one gets effective Yukawa couplings Y_{ij} :

$$Y_{ij} \simeq h \epsilon^{n_{ij}}$$



- A number of models of this class have been constructed which are able to reproduce most qualitative patterns of observed masses and mixings by appropriately choosing $U(1)$ charges of SM particles.
- The $U(1)$'s are typically anomalous. Those anomalies may be canceled in the string context under certain conditions, giving rise to gauge coupling unification without a GUT symmetry.^a

^aIbanez, Ross; Binetruy, Ramond (1994)

THE FINE-TUNING PUZZLES

1) The Cosmological Constant Problem

- We know that many physical processes exist contributing the the vacuum energy.
- Consider for example the tree level Higgs scalar potential in the SM:

$$V(H) = -\mu^2 |H|^2 + \lambda |H|^4$$

- The value of the potential at the minimum is given by

$$V_{min} = -\frac{|\mu|^4}{4\lambda} \neq 0$$

- On the other hand Einstein's gravity equations state:

$$R^{\mu\nu} - \frac{1}{2} R g^{\mu\nu} = -8\pi G_N \langle T^{\mu\nu} \rangle = -8\pi G_N V_{min} g^{\mu\nu}$$

- where $R^{\mu\nu}$ is the Ricci tensor, $R = R^\mu_\mu$ and $T^{\mu\nu}$ is the energy-momentum tensor.
- V_{min} act as a cosmological constant: vacuum energy has a dynamical effect on space-time curvature ^a.

^aLinde (1974); Veltman (1975)

- Cosmological data from supernovae and WMAP seem to indicate that **there is a positive very small cosmological constant Λ_{cc} of order:**

$$\Lambda_{cc} \sim (10^{-3} \text{ eV})^4$$

- This is many, many orders of magnitude smaller than the expected contribution from EW physics, of order M_W^4 .
- Loop contributions to the vacuum energy diverge quartically **so fine-tuning of $V_{min} = 0$ would be a extremely unnatural possibility.**
- furthermore there will be anyhow very large contributions to Λ_{cc} from other processes like e.g., QCD condensation.
- The cosmological constant problem **is still to be solved.** Some directions considered are:
 - Modifications of Einstein's gravity at long distances
 - Quintessence scenarios
 - Use the anthropic principle.

2) The Strong-CP Problem

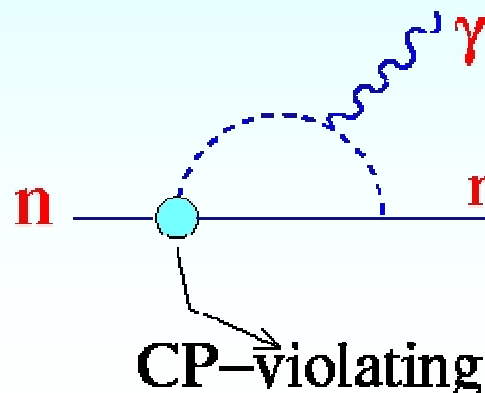
- QCD has (due to instantons) a CP-violating piece in its Lagrangian:

$$L_\theta = \frac{\theta}{32\pi^2} \tilde{F}_{\mu\nu} F^{\mu\nu}$$

- (This is proportional to the chromomagnetic product $\vec{E} \cdot \vec{B}$, which is explicitly CP-violating)
- Naively one would expect $\theta \simeq g_3 \simeq 1$. However such term induces very large contributions to the electric dipole moment of the neutron:

$$d_n (\bar{n} \gamma_5 \sigma_{\mu\nu} F^{\mu\nu} n)$$

- From graphs like:



- Experimentally one has ^a

$$|d_\pi| < 6.3 \times 10^{-26} e - cm. (90\%c.l.)$$

- This implies a bound on the θ -parameter:

$$\theta \leq 2 \times 10^{-10}$$

- This is at least 10 orders of magnitude smaller than expected!
- Unlike the case of the cosmological constant, in this case there are a few promising proposals to solve it.

The axion solution

- The idea is to introduce a new very light pseudoscalar field $a(x)$, the AXION with a coupling identical to that of the θ -parameter:

$$L_a = \frac{a(x)}{f_a 32\pi^2} \tilde{F}_{\mu\nu} F^{\mu\nu}$$

- Such type of field $a(x)$ and couplings appear if the axion is the goldstone boson of a spontaneously broken global $U(1)_{PQ}$ symmetry ^b.
- The axionic coupling appears if there is a $U(1)_{PQ} - SU(3)_c - SU(3)_c$ anomaly.

^aSmith et al. (1990); Altarev et al. (1992)

^bPeccei, Quinn (1977); Weinberg; Wilczek (1978).

- The SM alone does not allow for such a symmetry. One has to extend the Higgs sector by adding e.g. a scalar singlet to the SM.
- The nice point is that QCD instanton effects create a scalar potential of the form:

$$V(a) \simeq (1 - \cos(\theta + a/f_a))$$

- This potential has its minimum at $\theta + a/f_a = 0$, which corresponds to vanishing effective $\bar{\theta} = \theta + a/f_a$.
- So in the axion scheme the dynamics chooses the effective θ -parameter to vanish.
- The axion has mass $m_a \simeq m_\pi f_\pi / f_a$, where f_a is the scale of $U(1)_{PQ}$ breaking and $f_\pi = 93$ MeV
- There are strong astrophysical and cosmological bounds on f_a :

$$4 \times 10^9 \text{ GeV} (\text{astro.}) \leq f_a \leq 10^{12} \text{ GeV} (\text{cosmol.})$$

- Thus the axion should be extremely light and very weakly coupled, couplings $\simeq m_q / f_a$. The axion is said to be 'invisible'^c.
- The axion is a candidate for dark matter.

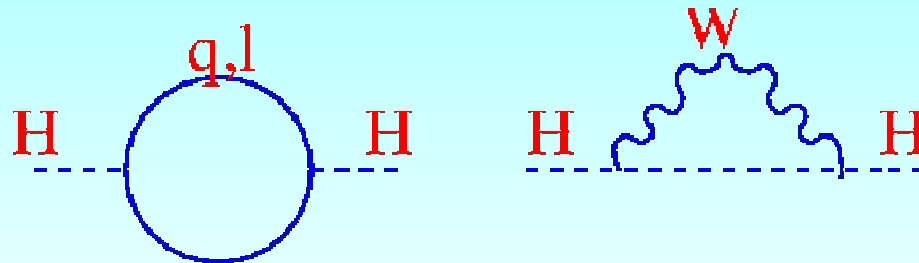
^cKim (1979); Shifman et al.; Dine et al. (1980)

3) The Hierarchy Problem

- A first way to present the problem is to understand why:

$$G_{Newton} \ll G_{Fermi} \text{ or } M_{Planck} \gg M_W$$

- In the SM the scale of weak interactions is fixed by the mass of the Higgs field μ^2 .
- Unlike fermion masses, scalar masses μ^2 receive huge loop corrections from graphs like



- These corrections to the mass are quadratically divergent:

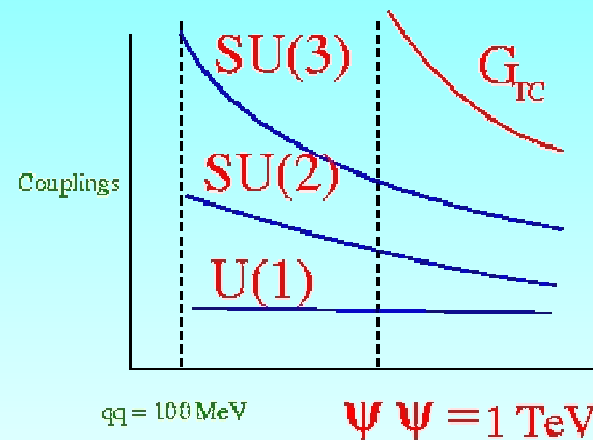
$$\Delta m_H^2 = \frac{|\lambda_f|^2}{16\pi^2} \left[-2\Lambda_{UV}^2 + 6m_f^2 \ln(\Lambda_{UV} / m_f) + \dots \right]$$

- Of course, one can always renormalize the value of μ^2 so that it is small of order M_W^2 . It sounds rather artificial though.

- Moreover if a physical cut-off like M_p or M_{string} exists, the loop corrections will be finite and huge.
- So the question of the hierarchy problem is how to maintain the Higgs field sufficiently light to trigger EW symmetry breaking, given that its natural value would be M_{GUT} or M_p or M_{string} .
- There are a few **PROPOSED SOLUTIONS**
 - Technicolor or other strongly interacting solutions
 - Low energy Supersymmetry
 - Extra dimensions at a TeV with:
 - String scale at a TeV
 - *Little Higgs*
- Unfortunately I will not have time to talk about the last one

TECHNICOLOR

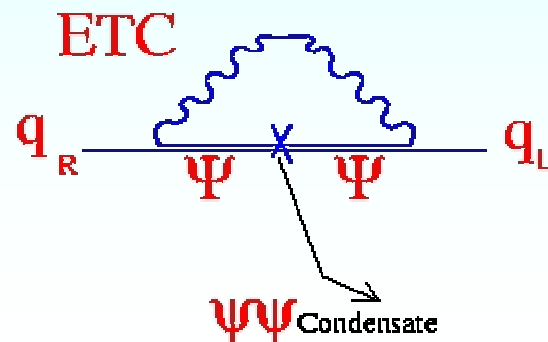
- In this scheme $SU(2)_L \times U(1)$ breaking is a rescaled version up to 1 TeV of QCD chiral condensate symmetry breaking^a
- One assumes there is a new QCD-like extra gauge interaction which becomes strong at $\Lambda_{TC} \simeq 1 \text{ TeV}$:



- Instead of an elementary scalar Higgs, the gauge symmetry is broken by a condensate of 'techniquarks' Ψ :

$$\langle \bar{\Psi}_R \Psi_L \rangle \neq 0$$

- This symmetry breaking gives rise to Goldstone bosons which are swallowed by the W^\pm, Z^0 to become massive.
- Since there are no elementary Higgs scalars there is no hierarchy problem. Furthermore, one can understand the hierarchy $M_p \gg M_W$ because of the running of technicolor interactions.
- Techniquarks are strongly bound by the technicolor interactions G_{TC} and form *technihadrons*. These should be produced copiously at colliders.
- The problem, as usual in BSM physics, appears in trying to give also masses to quarks and leptons.
- One has to further extend the theory with new gauge interactions connecting usual quark/leptons to techniquark/leptons, EXTENDED TECHNICOLOR (ETC):



- This gives fermion masses of order ^a:

$$m_q \simeq \frac{g_{ETC}^2}{(4\pi)^2} \frac{\Lambda_{TC}^3}{M_{ETC}^2}$$

- The problem is that in order to get quarks heavy enough **the ETC scale cannot be too large.**
- Since in order to get CKM mixing the ETC gauge bosons should change flavour, **large FCNC above experimental bounds are typically obtained.**
- In addition **precision EW measurements seem to rule out too large symmetry breaking sectors, as is the case of TC and ETC**.
- **This makes the construction of viable technicolor models very difficult.**